Acenza: Task

| Due Date: 08/17/2005 Logs (0) | ALFANO ALFANO SSN 099-44-9648 DOB 01/14/1958 01/14/1958 EDICAL COLLEGE Account # NYK0001972 Incurred Date 06/06/2000 06/0 | Schmidt | A based on L/R provided by the 07/26/05 FCE. Please note that swever, Disability is defined as either unable to perfom all the sqular occupation, or an inability to earn more than 80% of the square occupation, or the figure of the squarement is |
|---|--|--|--|
| Task: Internal Resource Response Start Date: Details | STEVEN ame WEILL M ager Mark Soc e this task for SAM/SAM, OV | Referral Type Nocational Rehab Counselor Role Check all that apply for Medical or Vocational Symptoms insufficient to support diagnosis Treatment plan and/or provider specialty is not consistent with Claimant's Diagnosis Cocupational requirements assessment is needed Cocupational requirements assessment is needed Cocupational capacity Cocupational Ca | ng for TS date. Ho of the re own occ y. |

https://dms-acclaim.group.cigna.com/acenza/Task/TaskOTCTASK_INTERNAL_RESOURCE_RESPONSEDisplay.asp?id=1... 08/09/2005

| Referral Accepted Comments | Yes | | Da | Date 08/09/2005 | | |
|----------------------------------|--|----------------------------------|--|---|--|--|
| | | | | | | *************************************** |
| | | | | | - Andrew Control of the Control of t | |
| Investigation Result | esult? | | | | | |
| The TSA ha | s been per. | formed using t | he sedentary | restrictions from | The TSA has been performed using the sedentary restrictions from the FCE done on 7/26/05 | |
| on the cla | imant, alo | ng with his wo | ork history of | Describes having | on the claimant, along with his work history of being a Wage and Salary Manager Umice in | |
| his fistor | y, and as | an Asst. Ulter ion/Psychology | cor or number | taken 1 year of c. | his fistory, and as an Asst. Difector of number resources, maying a particulary and assembly the first of passion/Psychology, and having taken I year of classwork in Graduate | |
| School for | MIS, and | the wage requi | rement of \$5, | 172.63 a month. U. | sing these criteria, | ميت مر |
| several jo | bs were in | dicated for hi | s current abi | lities, which should the state of the state | several jobs were indicated for his current abilities, which should allow alternation of | |
| physical p | Manager Co | nroughout the mnensation Man | workday, at r lager for the | Policyholder. Alo | physical positions throughout the Workday, at his Will, intiducing his own you us a size. | er i i i i i i i i i i i i i i i i i i i |
| several others were | hers were | indicated, inc | luding manage | ment in data proce | indicated, including management in data processing and computer | - |
| operations file. Retu | operations, employee file. Returning file | welfare and mand report to | welfare and mediation and credit and report to the CM for review. | credit analysis. See ful. | welfare and mediation and credit analysis. See full report in the and report to the CM for review. | |
| Last Changed User | User | Ginny Schmidt | | Last Changed Date | 08/09/2005 11:20 AM | |
| | | | | | • | 明で |
| Active Contents | ntents | | | | | |
| Tvoe | Due Date | Created By | Assigned To | | Title | |
| ₩\$ LTD 06/06/2000 | 6/06/2000 | | Mark Sodders | ALEANO, STEVEN | ALEANO,STEVEN - 099449648 - 01/14/1958 | |

https://dms-acclaim.group.cigna.com/acenza/Task/TaskOTCTASK_INTERNAL_RESOURCE_RESPONSEDisplay.asp?id=1... 08/09/2005

| SUM . | | | | | |
|-----------------------------------|--|---------------|--|---|----------|
| | Francfarable Ckil | la Ana | ducie Deferral F | orm 200 | -pro |
| CIGNA | Fransferable Skil Labor Market | | ey Referral Form | | |
| Claimant Name: Steven Alfa | ino | • | Date of Referral: | 8/8/2005 | |
| Policyholder: Weill Meide | cal College | - | Policy #: | NYK 1972 | |
| Case Manager: Mark Sodd | ers | : : | RUSH/TL Signature: | | |
| List Primary Diagnosis: | Spinal Stenosis | | Indexing required according is the account ERISA or Nor | | es es |
| | | | _ | shold for this referral: 80 ntract and/or procedure) |)% |
| Index Earnings | as of this date: 8/8/2005 | | The second secon | | |
| Initial Covered Earnings | (BME) AS OF: 6/6/2000 | = | \$5,933.32 | | |
| | Incurred Date Current Indexed Covered Earn | nings: | 8.97% \$6,465.79 | CPI for 2000 through 2003 | |
| Wage | Requirement for this refe | erral = | \$ 5,172.63 | | |
| 1 Why are you referring this | file for a TSA? Other Any Occ Date: n/a | | Other Reason | Continuing TD | |
| 2 LMS will be conducted if nece | ssary. Please | provide: | City: State: Zip: | Bronx NY 10463 | |
| 3 For work history information, | | in the file i | for use in performing TSA: | | |
| 4 | Document DQ | ſ | Tabbed in File? yes | | |
| • | Job Description | Ì | yes | | |
| 3 | Resume/Job Application | [| | | |
| | (From ER if possible) | | | | |
| 4 For Limitations and Restrictio | _ | | e file: Tabbed in File? | | |
| | Document Current Medical (< 6 mos. o | | yes | | |
| examples: | PAA, Psych Abilities Form, IME FCE, AMD/NCM Documentatio L's / R's | Ε, | | | |
| 5 State any other pertinent infor | mation or other specific issue | es which ne | eed to be addressed by the | rsa. | |
| There is no A/O date. However | ver, Disability is defined as eit | ther unable | e to perfom all the material | duties of the regular occupa | ation, |
| or an inability to earn more u | nan 80% of the Indexed BME | <u>.</u> | | | |
| | | | | | |
| Select Office Location: | Dallas | | | | _ |
| Return this form and the file to: | Ginny Schmidt, MS, CRC Rehabilitation Specialist Extension 7158 | | | | |

Acenza: Task

| Investigation Result | | | |
|----------------------|---|--|---|
| The FCE report has | been received. The cl. | The FCE report has been received. The claimant was found to be able to function at the | e to function at the |
| sedentary level of a | work, for and 8 hour pave to perform any l | sedentary level of work, for and 8 nour workday, but it would nave to be a position in which he would not have to perform any lifting and carrying of more than negligible | to be a position in e than negligible |
| amounts, and he wil | need to be able to | amounts, and he will need to be able to be able to change positions while sitting | s while sitting |
| approximately every | 10-15 minutes. They perobic testing on the | approximately every 10-15 minutes. They were unable to complete the dynamic and static Hifting tests, the aerobic testing on the treadmill and much of the other testing due to | e dynamic and static e other testing due |
| his complaints of p | in and needing to li | his complaints of pain and needing to lie down to get relief. He was found to be able to | as found to be able |
| perform fine manipu. | lation, handling, rea rall on an occasiona | perform fine manipulation, handling, reaching, pushing/pulling, climb stairs, sitting, standing and walking all on an occasional basis, and was unable to climb ladders, stoop, | imb stairs, sitting, climb ladders, stoo |
| kneel, crouch, craw. | l, or balance, and ha | kneel, crouch, crawl, or balance, and had the need to use a cane for ambulation. On a | or ambulation. On a |
| constant basis, he | is able to see, hear, | constant basis, he is able to see, hear, talk. They felt he gave a maximum effort during | maximum effort dury |
| testing, due to his | increase in respirat Returning file and r | testing, due to his increase in respiration and heart rate during the tests. See full remark in the file Returning file and report to the CM for review. | the tests. See full |
| | | | |
| Last Changed User | Ginny Schmidt | Last Changed Date | 08/05/2005 03:38 PM |
| Active Contents | | | |

| Title | ALFANO,STEVEN 099449648 01/14/1958 | |
|---------------|------------------------------------|-----------------------|
| Assigned To | Mark Sodders | midt |
| Created By | 1 | ned To: Ginny Schmidt |
| Type Due Date | ©\$ LTD 06/06/2000 | leted Assig |
| | 多 | Status: Completed |

https://dms-acclaim.group.cigna.com/acenza/Task/TaskOTCTASK_INTERNAL_RESOURCE_RESPONSEDisplay.asp?id=1... 08/05/2005

Acenza: Task

| Task: Inter | rnal Resourc | | שומכיוסכיבתו |
|--|--|--|--|
| Start Date: | (0//05/2005 | 046 <i>0</i> 866: | |
| Name | STEVEN ALFANO | SSN 099-44-9648 DOB | |
| Account Nam | ame WEILL MEDICAL COLLEGE | 1972 | red Date 06/06/2000 |
| Claim Man "Do not us Legal, Pre- | Claim Manager Mark Sodders *Do not use this task for any of the following referrals: Appeals, External Medical/Vocational Referrals (IME, FCE, etc.), Legal, Pre-SAM/SAM, Overpayment, Settlement, Social Security and Other Benefits | Incident # 513554 Claim errals: Appeals, External Medical/ Social Security and Other Benefi | Claim Eff Dt-Status 01/21/2003 - Active dical/Vocational Referrals (IME, FCE, etc.), eneffts |
| Referral | Vocational | | |
| Role | Vocational Rehab Counselor | Name Ginny Schmidt | ☑ New Nurse/VRC of Record |
| Check all that | | agnosis cialty is not consistent with Claiman nent is needed | t's Diagnosis |
| | Projected return to work date is unclear or undetermined Return to Work Assistance Internal Transferable Skills Assessment | lear or undetermined nent | |
| | Other Spinisher Spinisher | Specify Other | *************************************** |
| Comments | | | |
| referring MDsodders | ng for 1-day FCE. | | Control of the contro |
| Title | FCE Scheduling | | |
| Referral Accepted | Yes | Date 06/10/2005 | ļ |

https://dms-acclaim.group.cigna.com/acenza/Task/TaskOTCTASK_INTERNAL_RESOURCE_RESPONSEDisplay.asp?id=1... 08/05/2005

AUG-04-2005 THU 01:04 PM

FAX NO.

P. 01

HealthSouth Network Services

FAX TRANSMITTAL SHEET

P.O. Box 382647 Birmingham, AL 35238-2647 Phone (800) 634-8536, ext. 7729 Fax (800) 634-8532; (205) 262-4394

TO: Tiffany Brown

FROM: Frances McDowell

DATE: 08/04/05

FAX NUMBER: 1-860-731-3244

TOTAL PAGES:

(including cover sheet)

COMMENTS: Here is the report and invoice for Steven Alfano.

If there are problems receiving this transmittal please call:

Frances McDowell 1-800-634-8536, ext. 7729

CONFIDENTIALITY NOTE: The information contained in this facsimile message may be legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any use, dissemination, distribution or copying of this information is strictly prohibited and may result in violations of federal or state law. If you have received this telecopy in error, please notify us immediately by calling (collect) the telephone number above and destroy the original message. Thank you.

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P. 02

HealthSouth Network Services

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TO: Tiffany Brown

FROM: Frances McDowell

DATE: 08/04/05

FAX NUMBER: 1-860-731-324426

TOTAL PAGES:

26

(including cover sheet)

COMMENTS: Here is the report and invoice for Steven Alfano.

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Frances McDowell 1-800-634-8536, ext. 7729

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Facility Far Cover 01/01/02

AUG-04-2005 THU 01:04 PM

FAX NO.

P. 04

88/84/2885 81:27

8452941423

SPORTS PT OF MY

PAGE 82/25

Sports Physical Therapy of New York, P.C.

FUNCTIONAL CAPACITY EVALUATION

CLIENT: Steven Alfano EMPLOYER: Comeil Medical College DATE OF INJURYILLINESS: 08/06/00 DATE OF EVALUATION: 07/26/05 DATE OF REPORT: 07/26/05 SPORTS PHYSICAL THERAPY OF NY I.D. NO.: N/A DATE OF BIRTH: 01/14/1958

REFERRED 8Y; Mark Sodders
PHYSICIAN; Keith Roach MD
INSURANCE CARRIER: Cigna Insurance
INSURANCE REP.; Mark Sodders
INSURANCE I.D. NO.; N/A
SOCIAL SECURITY NO.; xxx-xx-9848 DIAGNOSIS: Lumbar Stenosis

VITAL SIGNS

HEIGHT: 72 " WEIGHT: 270 lbs. RESTING HEART RATE: 80 bpm

Starting Time: 10:00em Ending Time 1:30pm

HAND DOMINANCE: LEFT

RESTING BLOOD PRESSURE: 138/80 mm/Hg

PURPOSE OF ASSESSMENT

Comprehensive Functional Evaluation Return to Work

See Attached

Occupational/Work Capacity Address Specific Referral Questions

Other/Comments: Please qualify the patients capability to perform any occupation for an eight hour period. Determine safe, permissible lifting abilities and general physical demand demand category. Did the individual demonstrate a maximal effort throughout testing. Provide report of any discrepennies between subjective complants and observed behaviors.

CONCLUSION

The results of this evaluation indicate that Steven Alfano is currently functioning safety at a sedentary level for an eight hour period according to NY Department of Labor Standards. He is able to manipulate objects at deak level, push 20 libs and pull 14 libs. He was unable to stoop, kneel, crouch, or erawlicute to decreased range of motion as well as weakness and buckeiling of his lower extremities. Although, Mr. Alfano was very cooperative attempting all required tasks, he was unable to complete the filling, both static and dynamic, as well as the step test. I stopped these tests due to frequent buckeiling and increased risk of failing. He had two opisodes of loss of balance due to buckeiling requiring the assistance of the examiner in order to prevent a fell. As described by Mr. Alfano, his job required him to be in a prolonged sitting posture. The clinical data obtained at this evaluation does not support his ability to tolerabe eitting for any duration greater than 10-15 minutes without a drastic change in position. During the exam he frequently lied down to eleviate symptoms. His physiologic changes were appropriate with his increased subjective complaints. His effort during the exam was maximal and consistent with a negative REG score and appropriate physiological changes. His range of motion was severely limited both when the patient was aware and unawere of observation. He was driven and accompanied to the exam by his wife. The results of this evaluation indicate that Steven Alfano is currently functioning scalely at a sedentary level for an unawere of observation. He was driven and accompanied to the exam by his wife.

| LU | TOICAL DERANGE | VALEGORY Total Department of Edger, Discountry of Occupational Times |
|------|-----------------------|--|
| | Sedentary Work: | Examing up to 10 lbs. force occasionally and/or a negligible amount of force frequently to lift, carry, push, pull o otherwise move objects, including human body. |
| | Light Work: | Exerting up to 20 km, force occasionally, and/or up to 10 km, force frequently, and/or a negligible amount of local constantly to move objects. |
| | Medium Work: | Exerting 20 libs, to 60 tips, of force occesionally, and/or 10 libs, to 25 libs, of force frequently, and/or greater than regulgible up to 10 libs, of force constantly to move objects. |
| | Heavy Work: | Exerting By the to 100 hs. of force operationally, anylor 25 hs. to 50 ks. of ferce frequently, and/or 10 hs. to 20 hs. of ferce constaintly to move objects. |
| | Very Heavy Work: | Exerting in excess of 100 lbs. of foce obsasionally, and/or in oxpass of 50 lbs. of force frequently, and/or in excess of 20 lbs. of force constantly to move objects. |
| -rh. | ant vall for seturion | Strome Athen to Credit the aird Thomas of her Ved 120 History have now higher grandless |

u tor naterring Steven Altano to Sports Physical Therapy of New York, P.C. regarding this evaluation, please do not healtate to contact us.

33 Irving Place Floor 9 • New York • NY • 10903 • 212-677-3989 •

PAGE 1/21 * RCVO AT 8972005 12 24:53 PM [Central Daylight Time] * SVR:HB01H3134 * CH88.3121 * CSID: 845241423 * DURATION [min-se]-07-30

AUG-04-2005 THU D1:05 PM

FAX NO.

P. 05

08/04/2005 01:27

8452941423

SPORTS PT OF NY

PAGE 83/25

Functional Capacity Evaluation
Re: Steven Alfano SSN: xxx-xx-9848

MERT

Insurance I.D. No.: N/A

Professionally

eline Ganovese, MSPT

Physical Therapist cc: Mark Sodders

file

33 Irving Place Floor 9 • New York • NY • 10003 • 212-677-3989 • PAGE 375" RCVD AT 8H/2005 12:24:53 PM [Central Dayloght Time]" SVR:HSD1HS134" DNS:3121" CSB1:M5291423" DURATION (mm:ss):07-30 AUG-04-2005 THU 01:05 PM

FAX NO.

P. 06

88/04/2005 81:27

8452941423

SPORTS PT OF MY

PAGE 84/25

Functional Capacity Evaluation Re: Steven Alfano SSN: xxx-xx-9348

Insurance I.D. No.; N/A

| U.S Department (D.D.T. Cate | | Occasions (1 - 33%) (< 2.5 hrs) | (34 - 66%) | Constant (67 - 100%) (5.5 + hrs) | Oce Required | Adequato |
|--------------------------------|---|---------------------------------------|---------------|--|---|----------------------|
| Lifting - Floor to Knuckle | | ib. | s. [] ibs | . I D lbs. | | ⊠ Unable |
| - Knuckle to Shoul | der | 11 16 | | | 1 /1 - | Unable |
| - Floor to Shoulder | | ib. | | ibs. | | ∠ Unable |
| Carrying: | Distance: Pt. | | | | | ∀ Unable |
| Pushing | (Max. Wt.: 20 lbs.) | M | - | | | |
| Pulling: | (Stor Vit : \$4 jbs.) | Ø | | | | |
| Siltling: | *************************************** | i i i | | - = | 1-4- | |
| Standing: | | 10 | | | | |
| Walking: | | × | | — H | | |
| Climbing: F | legular Staire | × | | | | H |
| | legular Ladders | | 7 | | | ⊠N.T. |
| Balancing: | | | | | | |
| Stooping: | | | | | | X Unable X Unable |
| Kneeling: | ····· | | | | | X Unable |
| Crouching: | | | | | | X Unable |
| Crawling: | | | | | <u> </u> | X Unable X wable |
| Reaching: | Overhead | | | 1 7 | | - 3 2000 |
| | Desk Level | × | | | | H |
| | Floor Level | m | | | | ⊠ Unable |
| Handling: Firm Grasp | Right | × | | | | |
| | i.eft | × | | | | |
| Handling: Simple Grasp | Right | Ø | | | | 一一一 |
| | Loft | × | | | T T | |
| Fingering: Fine Motor | Righ1 | × | | | | |
| | Left | | | | | |
| Foot Controls | Right_ | | | | | |
| | Loft | ⊠ | J | | | |
| Seeing | | | | X X | | |
| Hearing | | | | X | | |
| Talking | | | | X | | |
| A (5 | | | | | | |
| Other: | | ڸ | | <u> </u> | | |
| Comments: Mr. Alfano | | | | | | _ 0 |

mir. Airano's cardiovascular endurance was maintained at < or = 657
See dynamic lifting and positional tolerance section for more details,
ime: Jacqueline Genovese, MSPT Clinician's Signature:
SPORTS PHYSICAL THERAPY Date:
OF NEW YORK, P.C.

Clinician's Name: Company:

AUG-04-2005 THU 01:05 PM

FAX NO.

P. 07

08/04/2805 01:27 8452941423

SPORTS PT OF NY

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Functional Capacity Evaluation

Re: Steven Alfano

SSN: xxx-xx-9648

Insurance I.D. No.: N/A

SUBJECTIVE HISTORY

Sleven Alfano is a 57 year old male with the current diagnosis of Lumbar Stenosis, onset 06/05/05. He had on and off pain in his lumber spine and then went to reach for a bottle falling from the refigerator and experienced intense and severe pain that persisted. .

He is presently not working. Current medication includes: Oxycontin 80 mg 4x/ day, Nexium 40 mg 1x/day, Lishoppil 20 mg 1x/day, and Aspirin 81mg 1x/ day. He did take his medication as he normally would this morning. He was planning on taking his second dose this afternoon.

Previous treatment for this injury/liness (as reported by patient) includes:

He followed up with the MD who sent him for an MRI and physical therapy. He had two bouts of 4 weeks at therapy without much success. He also saw a neurosurgeon and pain management doctor. He opted to avoid surgery due to the reported limited success rate. Physical therapy two separate clinics for four weeks. He had a combination of treatments including exercises, modelities and manual therapy with limited success. He also had confident the manual therapy with limited success. epidural injections with limited success.

Steven Alfano reported severe pain at an intensity of 7 (0 = no pain; 1,2,3 = low; 4,6,6 = moderate; 7,8,9 = severe 10 = emergency pain). He reported that the pain ranges from 7 at best to 10 at its worst. He trates that prolonged sitting aggravate lumber symptoms, and that lying down and ice provides relief. Perceived abilities include: sitting 10-15 minutes, standing 10-15 minutes, walking 10-20 minutes, driving 10-20 minutes, and fitting 0 lbs.

The patient requires minimal assistance with activities of daily living (ADL's).

The patient recipies iniminal accordance on tany and groups of tany and groups of the patient recipies at the children also help him with grocery shopping by carrying groceries. He describes a typical day as; waking and dressing with writes assistance, waiking his children to the bus, coming home to rest, getting children from the bus and maybo go to the store, then returning home to rest. He eats his dinner in his recliner as it is reported easier for him to bolerate a reclined position than an upright one. Sleep is disturbed with frequent awakenings. He reported difficulty with finding comfortable positions. The patient reports that he does not perform an exercise program.

Additional subjective Information Includes: (N/A)

VOCATIONAL/JOB HISTORY: Job description reported by client.

Mr. Allano described his job as primarily a desk position working on a computer. He was interviewing candidates for positions and negotiating salaries. He did state that sometimes he went from site to site for the interviews but mostly was at his desk.

DOT#: NA

33 Irving Place Floor 9 • New York • NY • 10003 • 212-677-3989 •

AUG-04-2005 THU 01:05 PM

FAX NO.

P. 08

08/04/2005 01:27

Gait

8452341423

SPORTS PT OF NY

PAGE 05/25

Functional Capacity Evaluation

Re: Steven Allano 5SN: xxx-xx-9648 Insurance I.D. No.: NA

MUSCULO9KELETAL SCREENING

Mr. Alfano ambulates with a straight cane for support and to prevent buckleing of his

lower extremities. He did buckle twice during the evaluation when he did not have

the support of the cane.

Posture: hir. Alfano presents with a forward flexed trunk posture. He compensates for lack of

mobility by furning his whole body, he reports that he does not bend at all at home

Sult Tissue: Increased tightness noted in soft tissue of his entire lumbar epine and lower

extremities. He reported "a good pain" during the straight leg raise portion of the

acmen

Mr. Alfano demonstrates limited flexibility in his spine and lower extremities that was Flexibility:

consistent when he was aware end unaware of the testing. He uses compensatory

movement of his entire body to change positions.

Range of Motion: Significent Limitation in lumbar range of motion as noted in the attached range of

motion screen. The limitations were consistant when the client was aware verses unaware of testing. He was able to increase his lumbar range with upper extremity

Mr. Alfano's lower extremity strength was limited and grossly assessed at 3+/5. He Strength:

did have frequent episodes of buckeling in his lower extremities which twice required the assistance of the examener to prevent a fall. Upper extremity was screened and

was within normal limits

Symmetrical bilaterally, mildly decreased in lower extremities. Neurological:

Mr Alfano was unable to complete the jumar grip test without frequent rests to lie Additional Testing:

down supine due to report of increased pain with sitting.

ENDURANCE / AERODIC GAPACITY

Modified Canadian Fitness Test

■ Completed Test

M Incomplete Test

ml/kg/mln Classification: Unable to Determine <= 2 MET8

HR increase: YES

Shoot Pressure: Resolvation Increase: YES

Comments; Mr. Altano was unable to maintain cadence on the step test. He attempted to perform the step three or four times with upper extremity support and while climbing his left lower extremity buckeled and he lost his balance and required exsistance from the evaluator to prevent his falling. The test was stopped due to the salety risk. His heart rate with this incident elevated from 80bpm at resting to 114bpm when the test was stopped. His functional cardiovascular endurance was unable to be assessed due to the exam being slopped.

DYNAMIC UFT TESTING:

Comments: Mr. Alfano attempted to complete the lifting component of this exam and during the knuckle to shoulder occasional lift he was able to pick up the box, but when he attempted to bring it over his head his left lower extremity buckeled and he dropped the box. He reported his pain was too severe to continue and he had to lie down. His heart rate elevated 10% with each attempt supporting a maximal effort.

POSITIONAL TOLERANCE TESTING:

33 Irving Piece Floor 9 • New York • NY • 10003 • 212-677-3989 • v2-070505 5

PAGE 5/25" RCVD AT 84/2005 12:24:53 PM [Central Daylight Time] " SVR:45 DIMS13/4" DMS:3124" CSID:8452941423 " DURATION (nam-se):07:36

AUG-04-2005 THU 01:06 PM

FAX NO.

P. 09

88/04/2885 81:27 8452941423

SPORTS PT OF NV

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Functional Capacity Evaluation

Re: Steven Alfano

SSN: xxx-xx-9848

Insurance I.D. No.: N/A

Comments: Mr. Alfano was unable to tolerate sitting greater than approximately 10-16 minutes. He frequently changed positions lying down and sitting in a reclined position on the ptinth. His decreased range of motion and flexibility limits his ability to kneel, stoop, and crawl.

CONSISTENCY OF PERFORMANCE SUMMARY: 13 of 15 Consistent Tests

PERFORMANCE: Consistent Performance Inconsistent Performance Self Limiting Behavior

Discomfort/Pein:Post Mr. Alfano post test pain level was at 9/10 with Increased soreness in overall lumbar area, Comments: Mr. Alfano's eithert was consistent throughout the exam attempting each task required. His physiologic responses had a direct correlation with his subjective complaints.

ADDITIONAL TESTING / COMMENTS: N/A

33 Irving Place Floor 8 • New York • NY • 10003 • 212-677-3989 •

v2-070305

6

AUG-04-2005 THU 01:06 PM

FAX NO.

P. 10

08/84/2005 81:27

8452941423

SPORTS PT OF NY

PAGE 88/25

Functional Capacity Evaluation
Re: Sleven Aliano SSN: xxx-xx-98

SSN: xxx-xx-9848

USFO

Insurance LD. No.: N/A

ADDITIONAL INFORMATION: N/A

Professionally,

Jacqueline Genovese, MSPT Physical Therapist

33 Irving Place Floor 9 • New York • NY • 10003 • 212-877-3989 •

PAGE 975 ' RCVD AT 847005 12.24:51 FM [Cental Daylight Time] ' SVR.HEVINTS104 ' DHES:0121' CSD:2452941423 ' DURATION (non-55):07-30

AUG-04-2005 THU 01:06 PM

FAX NO.

P. 11

B8/84/2805 01:27

8452941423

SPORTS PT OF NY

PAGE 89/25

Functional Capacity Evaluation
Re: Steven Afano 55N: xxx-xx-96

55N: xxx-xx-9648

Insurance I.D. No.; N/A

Sports Physical Therapy of New York, P.C. 33 Irving Place Floor 8 New York, NY 10003 Phone: 212-877-3989 Fax:

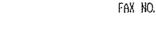
33 Irving Place Floor 9 • New York • NY • 10003 • 212-677-3989 •

PAGE 925 * RCVD AT 811/2015 12:24:53 FM [Central Daylight Time] *SVR:HISOMIS134 * DNIS:3121 * CSIO:8452941423 * DURATION (mm-ss;107-30

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FUNCTIONAL ABILITIES EVALUATION

Client Information

Client Name: Mr. Steven Alfano Address:

Dominant Hand: Left Hand \$\$N: 000-00-9648

Date of Birth: January 14, 1958 Gender: Male Height: 76 inches Weight: 270 lbs Marital Status: Married

Employment Information

Occupation: Wage and Salary Manager Employer: Cornell Medical College Address:

Department: Personnel

Work Status: Not currently working

Start & Finish Date: Jul 26, 2005

| | | Canac | lian Ae | robic Fi | tness T | est (mCAF | T) Tes | 4 Summary | 0 }p | 26 Phillip Onborne, M.D. |
|------------------------|---------|--------------------------|---------|----------|---------|-----------|--------|-----------|--|--------------------------|
| DR at start of test | | HR at end of recovery | Paôn | Blood p | ressure | V02 Max | MET | | 0 120 120 120 120 120 120 120 120 120 120 | |
| 108 BPM | 114 BPM | 114 BPM | | 138/80 | N/A | -0.1 | 0 | Light | - 20 L | Text (m/m) |

He was unable to continue the test due to biomechanical factors. He was unable to complete test and right leg buckeled twice and client lost his balance and was assisted by evaluator and wife to a plinth.

| | | Isomet | ric Stren | gih Testing Sumr | 0 1996 Phillip | Orberse M.D. | | |
|----------------|-----------|------------------|-----------|------------------|----------------|--------------|----------|----------|
| Posture | Results | H Changes | Results | Appropriate | Start HR | Max HR | Final HR | Expected |
| Squat Lift | | H Squat Lift | | Decrease? N/A | | | | |
| Back Lift | | H Back Lift | | Jucrease? N/A | | | | • |
| Pull Out | 14.8 Rs. | L=7.7 R=7.1 | | | | | | N/A |
| Pash In | 21.2 lbs. | I≈12,8 R=8,4 | | | 1 | A | | N/A |
| High Near Lift | | H High Near Lift | | Decrease? N/A | 1 | | | |

Oraphs below shows the charge to brast rate for each test.

Bulleton & Alter Test (BPM)

PUSH IN NOTE: Test 2 right foot forward, test 3 left foot forward

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The Human manuscript & religionary system to a patient of US patient #01 80801) because of Handun Manuschine.

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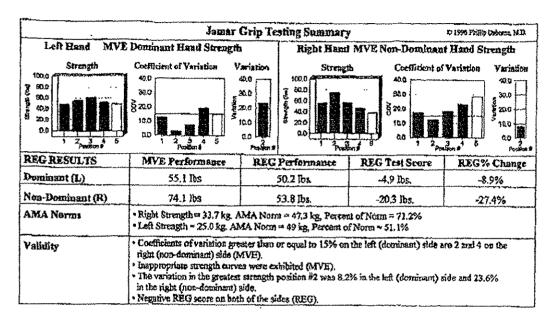
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Sports Physical Therapy of My Managed by Healthsouth 33 Rung Place, Minih Ploor New Yurkmy 10001 (21) 6514989

Mr. Steven Alfaha



PAGE 1325' RCVD AT 847005 1224:33 PM [Central Daylight Time]' SYR:HED HAS 1314' DNES 3121' CSID:845241423' DAVAT KAN (num-est of 30

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| | | | | PIC Liftis | g Sumn | oary | | | O 19 | 95 Philip Osbaros, M.D. |
|---------------------------|--|---|---------------------------------|---|-------------|--|----------|--------|--|-------------------------|
| Lumbar | J. Knockle | to Shoulder (| Decasional) | *************************************** | | | | | 20 [| |
| Final Weight | Perceived Load | Termination Reason | Work Equivalency | HR Stort of Test | HR Pesk | Final HR | Expected | 1 | F 20 P | |
| 20 Lbs. | 7-Fleory | Psychophysical | Light | 115 | 114 | 102 | NO | | n . | Time (Min) |
| Lumber | 2. Flour to | Knuckle (Occ | | | | | | | × r | |
| Final | Perceived | Termination | , | TTD 500 | 700 | 1700 - 1700 | <u> </u> | ر چ ا | ≫ *0 | |
| Welght | Lead | Resson | WOIR Equivalency | HR Start of Test | HA Pesk | Pipal HR | Experted | 12 | 50 20 | |
| 0 Lbs. | | Psychophysical | Sedentary | 106 | 109 | 103 | NO | | * | Time (Mar) |
| Lumbar | 3. Floor to | Shoulder (Occ | asional) | | | وعد والوسنداد ، مدمه معربية المطابقة المهم | | | <u> </u> | |
| Final Weight | Perceived Load | Termination Reason | Work Ecnivalency | HR Start | HR Pesk | Finel HR | Expected | 8 | 20 00 00 00 00 00 00 00 00 00 00 00 00 0 | · |
| Olbs. | None | Biomerhanical | Sedentary | 107 | 107 | 106 | NO | | g L | Theo (ME) |
| Lumbar Final Weight | 4. Knuckle Perceived Lead | to Shoulder (E Termination Reason | request) Work Equivalency | HR Start | HR Peak | Final HR | Expected | O BATO | 52 F 75 F 86 F | |
| D Lbs. | None | Biomechanical | Solectory | 105 | 0 | None | NO | | ىلە ە | Time (PAIn) |
| Lumbar | # Dans | Knucide (Free | | | | | | | h)- | |
| Finul Weight | Perceived Load | Termination Reason | Work Equivalency | HR Start of Test | HR Peak | Final HR | Expected | E . | 2 2 2 2 | |
| 0 Lbs. | Noot | Biomechanical | Sectionary | 104 | 0 | None | NO | | 7 | Time (Mail) |
| | | Dr. 2.6. day | | | | | | 3; | 2 | |
| Lumber | | Shoulder (Free | | | | | · | E 17 | | |
| Final Weight | Perceived Load | | Work Equivalency | FIR Start of Test | HR Peak | Final HR | Expected | 5 | | |
| O Lbs. | None | Bistorchargical | Sedentary | 99 | 0 | None | NO | | 0 | Tibus (Me) |
| 6 of the l Based or | The peak heart rates for each subtest are NOT in the expected according order. 6 of the EPIC subtests did NOT display the expected 10% increase in heart rate. Based on the documented physiological and biomechanical changes it is our opinion that the evaluee did provide a maximal acceptable effort during the ELC evaluation. | | | | | | | | | |

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PAGE 14/25 * RCVD AT 84/2005 12:24:33 PM [Central DayEght Time] * SYR:HEO 1965/344 * DHIS:3121 * CEXD:8452941423 * DWATICH (DUT-SE):07-30

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Range of Motion | Lumbar

Jul 26, 2005 11:48:57 AM

| | True Lember Flexion | True Lambar Editorion | Left Labrel Flexion | Right Lateral | Left Streight Log. Rese | Right Straight Log Rolfe |
|-------------|------------------------|--------------------------|------------------------|---------------|----------------------------|-----------------------------|
| Normals: [| 60 | 25 | 25 | 25 | 89 | 80 |
| Trial 1: | Q. | 1 | 2 | 1 | 36 | 12 |
| Trial 2: | 3 | 4 | 2 | 4 | 26 | 13 |
| Trial 3; [| 00 | 3 | 2 | 7 | 18 | 11 |
| Average | 0.3 | 2.7 | 2.0 | 4.0 | 26.7 | 12.0 |
| Maximum | 1.0 | 4.0 | 2.0 | 7.0 | 36.0 | 13,0 |
| AMA Valid | YES | YES | YES | YES | NO | YES |
| % of Normal | 1% | 11% | 1% | 16% | 33% | 15% |



Reference Information

Mr. Bieren Alland

American Medical Association Guides to the Evelution of Promount Impairment, Fifth Edition

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33 IRVING PLACE NDVIH FLOOR NEW YORK NY 10000 (212) 667-1989

PAGE 15/25 ' RCVD AT 84/2005 12:24:53 PM [Central Daylight Time] ' SYR:HS01185134 ' DNB::3121 ' CSID::845/29414/23 ' DURATION (mm-ss):07-30

St. Dev. 48 Lbs.

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Hand Grip Setting

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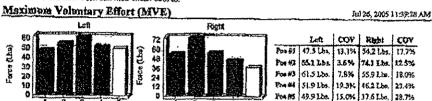
12.1 Lbs.

Hand Grip 🗩 Strength

The JAMAR hand dynamometer was used in order to quantify grip strength and determine whether Mr. Steven Alfano exerted consistent effort during grip strength testing. Mr. Steven Alfano was tested using the maximum voluntary effort and rapid exchange hand grip protocols. Mr. Steven Alfano is left hand dominant. Nonnetive data is based on the assumption that right and left hand dominant subjects, analyzed separately show little functional difference between their mean scores.1-2-



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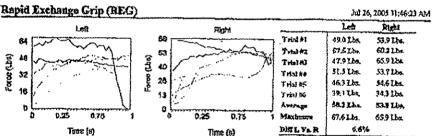
Using the Maximum Voluntary Effort (MVE) protocol over a range of five positions on the hand dynarcometer, it is expected that the strength graphs obtained results in a bell-shaped curve-11.12 to even in a disabled population or if the client's band is injured. v. with at least 6 of the 10 coefficients of variation within the acceptable 15% or less limit 2

Halad Grip Setting

The graph comined for Mr. Steven Alfano did not demonstrate a bell shaped curve which may be an indicator of submaximal effort and the coefficients of variation of the underlying data may be an indicator of varied effort with only 5 out of the 10 coefficients of variation within the 13% acceptable limits.



aldon 2. The clien क्राजनकार किसे क्षानकार is. The word on from the al the in the section of the con-traction version position in the color of the color



The peak average force value recorded during the maximum voluntary offort protocol was 74.1 Lis performed at position 2. The Rapid Buthange Grip (REG) protocol was therefore administered at this position. A negative rapid exchange grip (REG) occurs when the average of the values recorded during the rapid exchange grip protocol are less than the average of the values recorded during the maximum voluntary effort protocol in the same position and for the same band. Conversely, a positive REG occurs when the average of the values recorded during the rapid exchange grip protocol exceed the average of the values recorded during the maximum voluntary effort protocol in the same position and for the same hand. A negative REG allows the evaluator to have more confidence that the evaluee is performing maximally. A positive REG may be an indicator of submaximal effort, but. Steven Alfano produced an average value of 50.2 Lbs for the left hand and 53.8 Lbs for the right hand during the rapid exchange protocol. He produced an average value of 55.1 Lies for the left hand and 74.1 Lies for the right hand during the maximum voluntary affort protocol. Mr. Steven Alfano therefore demonstrated a negative RBG which may be an indicator of maximal effort.

- Stoken H. 1983. The surjointy uninjured hand weakness of grip. I Occup Med 25(9):583-584.
 Nikhalu B, Marion R. 1990. Voluntary central of submeritual grip strongth. Am J Phys Med Robbid 69(2):56-101.
 Madheson L, Carlton R. Nismayar L. 1988. (Trip strongth in a disabiled surrolar religibility and normalize standards. Ind Reliabil Q 1(3):9,13-23.
 Hikhath D, Bredderbach W, Likhtt O, Hodges A. 1989. Detection of submaximal effort by use of the regist archange grip. J Hand Surgery 14(4): 762-745.
- a Minush B, Short J. 1997. Volkian in Amphiment ming: the velicity of effort supersumer. J Occup Med 6(2) 9-18.

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Mr. Steven Album



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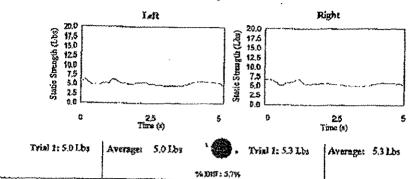
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- Poll Out Test

This test is designed to measure the pull force of the patient at a care-height equivelent. The test measures the average force of the patient over a five-second time period.

Test Date: Jul. 26, 2005 12:07:05 PM

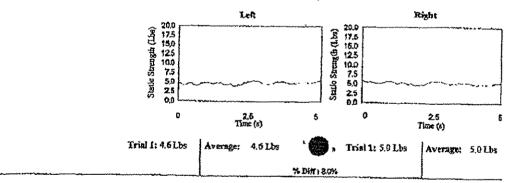
Combined Average Force: 19,3 Lbs.



Mr. Steven Alfano reached a combined average force of 10,3 Lbs. during the pull out test. The combined average is calculated by adding the average force of 5.0 Lbs. for the left side and 5.3 Lbs. for the right side. This yields 5.7% difference between the sides.

Test Date: Jul. 26, 2005 12:07:22 PM

Combined Average Force: 9,6 Lbs.



Mr. Steven Alfano resched a combined average force of 9.6 Lbs. during the pull out test. The combined average is calculated by adding the average force of 4.6 Lbs. for the left side and 5.0 Lbs. for the right side. This yields 8.0% difference between the sides.

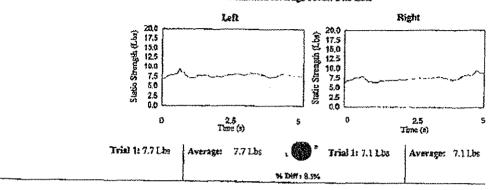
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Mr. Steven Allion

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Test Date: Jul. 26, 2005 12:07:35 PM Combined Average Force: 14.8 Lbc.



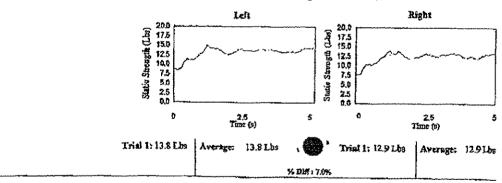
Mr. Stoves Alfano reached a combined average force of 14.8 Lbs. during the pull out test. The combined average is calculated by adding the average force of 7.7 Lbs. for the left side and 7.1 Lbs. for the right side. This yields 8.5% difference between the sides.

Push in Test

This test is designed to measure the push force of the patient at a cart-height equivalent. The test measures the average force of the patient over a five-second time period.

Test Date: Jul. 26, 2005 12:05:16 FM





Mr. Steven Alfano reached a combined average force of 26.7 Lbs. during the push in test. The combined average is calculated by adding the average force of 13.8 Lbs. for the left side and 12.9 Lbs. for the right side. This yields 7.0% difference between the sides.

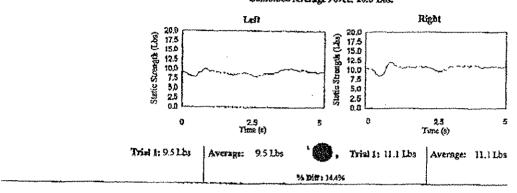
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Mr. Steven Allano

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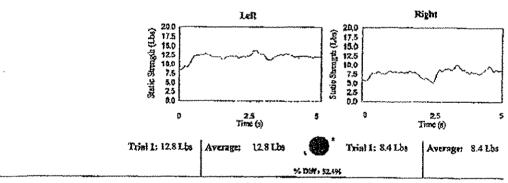
Test Date: Jul. 26, 2005 12:05:47 PM Combined Average Force: 20.6 Lbs.



Mr. Stoven Alfano reached a combined average force of 20.6 Lbs, during the push in test. The combined average is calculated by adding the average force of 9.5 Lbs. for the left side and 11.1 Lbs. for the right side. This yields 14.4% difference between the sides.

Test Date: Jul. 26, 2005 12:06:12 PM

Combined Average Force: 21.2 Lbs.



Mr. Steven Alfano reached a combined average force of 21,2 Lbs, during the push in test. The combined average is calculated by adding the average force of 12.8 Lbs, for the left side and 8,4 Lbs, for the right side. This yields 52.4% difference between the sides,

Evaluator Comments

Test 2 right foot forward, test 3 left foot forward

SPORTS PHYSICAL THERAPY OF NY MANAGED BY HEALTHSOUTH 33 IRVING PLACE, NINTH FLOOR NEW YORK MY 10003 (212) 667-3989

Mr. Steven Alfano

PAGE 1975 * RCVD AT 8402003 1224:53 PM [Central Daylingh Time] * SVR:HSD [MS124 * DHS:3121 * CSID:R452941423 * DURATION (mm-ss):01-30

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Epic Lifting Capacity the those From EUR:

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The EPIC Lift Capacity Test (ELC) was used to determine Mr. Steven Alfano's dynamic lifting and lowering capacity. The ELC is a progressive anthropometric protocol designed to determine the maximal acceptable load someone is capable of manually handling on a safe and dependable basis. The HLC is administered over three shalf heights at two rates of frequency. The two rates of frequency correspond to the occasional and frequent lifting demands as defined by the Dictionary of Occupational Titles. For each subtest the load is systematically increased until one of the three termination criteris (physiological, biomechancial or psychophysiological) are met. Charing the evaluation physiological, biomechanical and psychophysiological observational data are utilized to determine if the participant provided a reminal acceptable effort.

Mr. Staven Alfano provided the following results:

| Test | Range | Prequency | Joh Demand | Loot | 水田 | RAW | %tile | Termination |
|------|---------------------|-----------|------------|--------|-------|-------|----------|----------------|
| 1 | Kmickle to Shoolds | I/cycle | | 10 Lbs | <10th | 0.074 | <5th | Psychophysical |
| 2 | Floor to Knackie | l/cyclo | | 0 Lbs | <10th | 0.000 | ⇔ | Psychophysical |
| 3 | Floor to Shoulder | l/sycle | | 0 Lbs | <10th | 0.000 | <5th | Biomechanical |
| 4 | Knuckle to Shoulder | 4/cycle | | 0 Lbs | <10th | 0.000 | ≺in | Biomechanical |
| 5 | Floor to Knackle | 4/cycle | | OLbs | <10h | 0.000 | <5th | Biomechanical |
| 5 | Floor to Showlder | 4/eyolo | | 0 Lbs | <10th | 0.000 | <\$tb | Biomochanical |

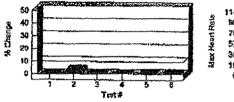
The results from tests numbers 3 & 6 are used to determine the participant's occasional and frequent physical demand level as defined by the Dictionary of Occupational Titles (DOT). Based on these results Mr. Steven Afthno has demonstrated at minimum a sedentary occasional strength demand and at minimum a sedentary frequent strength demand.

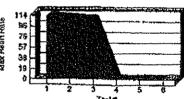
Physiological and Biomechanical Changes

Under normal test conditions it is expected that the participant provided the following physiological

- 1) 10% increase in heart rate for each subtest
- 2) The peak heart rate for each of the 6 subtests are in ascending order.

Mr. Stoven Althou provided the following physiological responses:





Global Effort Hating

Based on the documented physiological and biomechanical changes it is our opinion that Mr. Stoven Alfano did provide a maximal acceptable effort during the ELC evaluation.

- Michael I.M. Jahrony V. Grad J. Miled M. Diff 15, blades T. Licher R. Mchawli C. A cer in Present M. crystly of physically at Miled Sci. Diff. 15, blades T. Licher R. Mchawy V. Tolhad D. Licher R. Grant J. Nagio S. Glades B. A highest principle above For T. Carriello B. a policy happine Till P. Stock P. A. Nagio S. Glades B. A. Nagio S. A. Nagio S. Glades B. A. Nagio S. A.

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33 IRVING PLACE, NINTH FLOOR NEW YORK MY 10003 (212) 667-3989



Mr. Sheven Album

PAGE 2025 RCHO AT 1947005 12:24:30 PM [Central Daylight Time] - SVR HISTORIS 10.4 - DHIS: 3121 - CSRC: 845294420 - DURATION Jumps skipt 30

AUG-04-2005 THU 01:10 PM

FAX NO.

P. 23

08/04/2905 01:27

8452941423

SPORTS PT OF NY

PAGE 21/25

Axial Rotation Reach



The effect while production the I we send while publishing the consideral parties of parties. A total of live spale and completed.

Occasional - F.R.O.M. > The Sustained Standing Reach with Axial Rotation Byahustion protocol was used to determine Mr. The Sistamen standing Reach with Asha Kotanon Evanuation protocol was used to determine not. Steven Alfano's ability to perform solal rotation activities in a standing position and performing functional reaching on a sustained basis. Mr. Steven Alfano was tested using the Functional Range of Motion (FROM) System and the performance was calculated using the internationally-recognized MTM (methods-time measurement) standard. Methods-Time Measurement (MTM) is the industrial engineering-based method for the determination of time-motion performance in conjunction with work-related and the standard method for the determination of time-motion performance in conjunction with work-related and the standard method for the determination of time-motion performance in conjunction with work-related and the standard method for the determination of time-motion performance in conjunction with work-related and the standard method for the determination of time-motion performance in conjunction with work-related method for the determination of time-motion performance in conjunction with work-related method for the determination of time-motion performance in conjunction with work-related method for the determination of time-motion performance in conjunction with work-related method for the determination of time-motion performance in conjunction with work-related method for the determination of time-motion performance in conjunction with work-related method for the determination of time-motion performance in the det related activities. The MTM standard score allows for the means to determine an exact percentage score of performance against the most widely recognized sciteria for the assessment of time-motion scrivities. MTM scoring is based on a criterion referenced time-motion standard to complete a task as opposed to an estimate of shalty.

| Test Date | Time (min) | MIM Percentage | MIM Rating |
|--------------------------|---------------------------------------|----------------|--|
| | | | |
| Jul 26, 2005 12:24:14 PM | 10:40 | 54% | Below Competitive |
| | · · · · · · · · · · · · · · · · · · · | | ************************************** |

The test scoring is based upon the total time necessary to complete five cycles of the task. The time required to complete the test is converted automatically into the equivalent MTM (methods-time measurement) standard score. Mr. Steven Alfano bad a MTM score of 34% which correlates to a rating of below competitive.

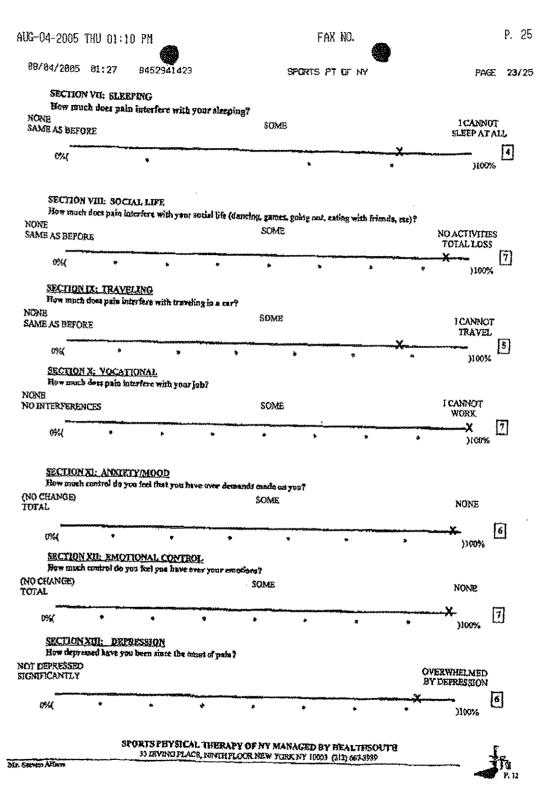
SPORTS PHYSICAL THERAPY OF MY MANAGED BY HEALTRSOUTH ED IRVINO PLACE, NONTH PLOCE NEW YORK MY 10003 (212) 667-3989

hir Bleven Allano

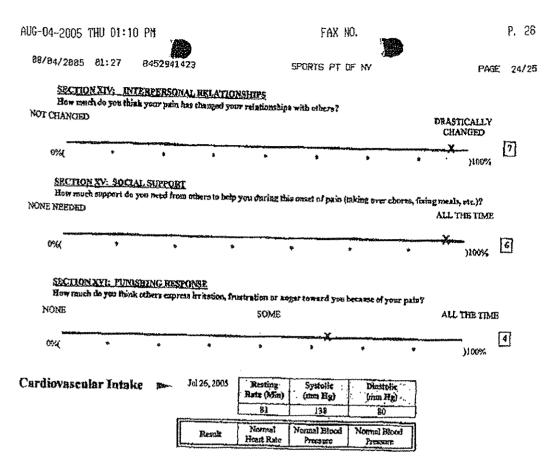


PAGE 21/25 PROVID AT SURDOS 12:24-59 PM [Central Dayligh) Time} " SVP:HSD1MS13/4" DARS:3121" CSID:8452941423" DURATION (mm-ss):07:30

PAGE 1105" RCVD AT 840,005 12.24:13 PAR (Central Daylight Time) "SVR HSD HAS 104" ON RE-3121" CSID: \$45,044.23" DBRATION (mm-st); 07.00



PAGE 2005 * RCVD AT 0417065 12:24:53 PH [Central Dryfigte Time] * SVR:HS91HS1014 * DHS:3121 * CBID:341241423 * DURATION (mmes):07:30



SPORTS PHYSICAL THERAPY OF NY MANAGED BY BEALTUSOUTB 33 IRYDIO FLACE, INTHI FLOOR NEW YORK NY 10033 (21) 697-3989

Mr. Slevan Alfono



PAGE 24/25: RCVD AT 84/2005 12:24:53 PM [Central Daylight Time]: SVR:HSD1MS EM * DNB:3121 * CSD:845/2414/23 * DURATION (nim-ss):07-30

AUG-04-2005 THU 01:10 PM

FAX NO.

P. 27

89/04/2085 81:27

8452941423

SPORTS PT OF NY

PAGE 25/25

Medified Canadian Aerobie Fitness Test (mCAPT)



The chief that a double step and it accountry a high step while that brust min is bring treathest the white their best take the manket the 75% ear productive membership he rate level the best is absented.

The Canadian Aerobic Fitness Test (CAFT) consists of a series of step tests used to determine serobic fitness, it consists of a succession of different stepping sequences varying in tempo and step height. The test is performed using a double 20.3 cm step and a single 40.6 cm step and a computerized metronome that sets the appropriate tempo. Participants begin with a warm-up exercise at a cadence intensity of 65 to 70% of the average acrobic power of a person 10 years older. The participant performs the test until one of the following end points: 1) The patient can no longer continue, 2) The patient's heart rate reaches the predatemined 85% age predictive maximum heart rate level, or 3) The instructor terminates testing for biomechanical reasons. During the evaluation physiological, biomechanical and psychophysiological observational data are utilized to determine if the participant provided a maximum acceptable effort.

Mr. Steven Alfano provided the following results:

Jul 26, 2005 11:22:06 AM

| Level | Codence (steps/min) | Step Height (cos) | Age Predicted 85% Max Heart Rate (bpm) | Initial Heart Rate (bpm) | Pesk Heart Rais (bpm) |
|-------|------------------------|-------------------------|--|--------------------------------|--------------------------|
| 3 | 102 | 20.3 | 114>147 | 108 | 114 |

The test was stopped during the 3 stage due to biomechanical factors.

Physiological Changes

Under normal test conditions it is expected that the patient's peak heart rate for each mage successfully completed should be in exceeding order.

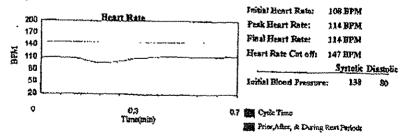
In Mr. Steven Alfano's case it was noted that be did achieve the amicipated physiological result.

Physical Work Innestity by Oxygen Consumption

| ork Intesity for 78-tig Person | 0 | | | |
|--------------------------------|----------------------------|---------------------------|--|--|
| | Oxygen Consumption | Excess Energy Expenditure | | |
| Light work | 7 mL/kg; 0,5 L/min | <-2 MBTS | | |
| Moderate work | 8-15 mL/kg; 0.6-1.0 L/min | 2-4 MET3 | | |
| Heavy work | 16-20 mL/kg; 1.1-1.5 L/min | 5-6 METS | | |
| Very heavy work | 21-30 mL/kg; 1.5-2.0 L/min | 7-8 METS | | |
| · Ardnous work | >30 mL/kg; > 2.0 L/roin | > 8 METS | | |

Evelpator Comments

He was unable to continue the test due to hierarchanical factors. He was unable to complete test and right leg bucketed twice and ellent lost the balance and was assisted by evaluator and wife to a of with.



Spurts physical therapy of ny managed by healthsouth 3) IRVING PLACE, NEWTHPLOOR NEW YORK NY 10003 (217) 557-9889

BAL Bowen Alteno



PAGE 25/25 RCYD AT 84/28/3 12:24:53 PM [Central Daylight Troe] ' SVR:HSD1H5134 ' DHB::3121 ' CSD:8451941429 ' DIRATIOH (mm-ss):37-38

| A800 |
|------|

A REFERRAL FORM

| 9 | |
|---|------|
| , | CIGN |
| | |

B Connecticut General Life

X CIGNA Life Insurance Company of New York)

Service Requested:

X 1-Day FCE

☐ 2-Day FCE

FCE Preference:

Underwriter:

Own Occupation

X Any Occupation

Has the claimant been notified of the referral for a FCE? X Yes

| Date Referred: | | NT INFORMATIO | |
|---|----------------------------------|-----------------------------|-----------------|
| June 9, 2005 | | 01-LTS | |
| Last Name: | | First Name: | |
| Alfano | | Steven | |
| Claimant's Home Address: 3800 Brow | Waldo Drive, 13-G i, NY 10463 | | |
| Home Phone: | D.O.B.: | | ☐ Female X Male |
| 718-884-2067 | 01/14/1958 | | |
| Most Recent Employer: Weill Medical College | | Job Title: Wage and Sala | ry Manager |

| | REFERRAL SOURCE INFORMATION | И |
|-------------------------------|--------------------------------|------------------|
| Referral Source: | Phone: 800-352-0611, ext. 7158 | Office Location: |
| Ginny Schmidt | Fax 860-731-3244 | Dallas, TX |
| Billing Contact/Case Manager: | Phone: 800-352-0611, ext. 5693 | Office Location: |
| Mark Sodders | Fax: 860-731-2907 | Dallas, TX |

| • | INJURY INFORMATION | |
|----------------------|----------------------------------|--|
| Attending Physician: | Phone: 212-746-2879 | Address: 505 E. 70 th Stret/HT 450 NYC 10021 |
| Keith Roach, MD (IM) | Fax: 212-746-8127 | 1 |
| Date of Disability: | Diagnosis: | |
| June 6, 2000 | Lumbar Spinal Stenosis, Cervical | DDD |

SPECIAL INSTRUCTIONS/REFERRAL QUESTIONS:

9/11/00

FCE Referral Questions

| Cla | imant Name: Steven Alfano | • | | | | |
|-----|--|---|--|--|--|--|
| Cas | e Manager: Mark Sodders | Date: June 9, 2005 | | | | |
| CIC | SNA Group Insurance | FCO location: | | | | |
| | Functional Capacity Evaluation has been request owing: (Please check all that are applicable.) | ted to determine the following information or because of the | | | | |
| Χ | 1. Please quantify physical and functional abilities to determine individual's capability to perform any occupation for an 8-hour day. Provide objective rationale if unable to perform a full 8-hour day. | | | | | |
| | 2. Can this individual safely return to his/her occupation? (Determine work ability based on: DOTand/or job description enclosed.) | | | | | |
| | 3. Does the functional level of the client match those of his/her own occupation, or are reasonable accommodations needed to return to work? | | | | | |
| | 4. If the client is unable to safely perform his/he the job in a safe manner? | r own occupation, what are the limiting factors from performing | | | | |
| | 5. Can the individual return to work in a modifie | ed or light duty status? | | | | |
| Χ | 6. Determine safe, permissible lifting abilities ar | nd general physical demand category. | | | | |
| Χ | 7. Perform consistency of effort testing and corr | elate clinical versus functional presentation. | | | | |
| X | 8. Did individual demonstrate maximal effort th | roughout testing, or were self limiting behaviors observed? | | | | |
| Χ | 9. Provide a report of any discrepancy between the behavior. | the subjective complaints, objective findings, and observed | | | | |
| | 10. Complicated case presentation involving musyndrome, myofascial syndrome, cancer, dis | ltiple systems, i.e. fibromyalgia, RSD, chronic fatigue abetes, etc. | | | | |
| | Provide treatment recommendations with ob- improved functioning. | jective rationale explaining purpose, goal and prognosis for | | | | |
| X | 12. Do not provide treatment recomm | endations with report. | | | | |
| Χ | 13. Provide written observations of the individual and if anyone accompanied the individual to the | al's physical appearance, timeliness, mode of transportation, e evaluation. | | | | |
| Χ | 14. Provide physical abilities or capabilities for | m with final report. | | | | |
| | 15. Other Specific Instructions: | | | | | |





07/05/05

Mr. Steven Alfano 3800 Waldo Drive Bronx, NY 10463

Dear Steven:

Cigna has referred you to HealthSouth Sports Medicine and Rehabilitation for a 1-Day Functional Capacity Evaluation. Your appointment has been scheduled for July 26, 2005 at 10:00 a.m.. Please make sure you bring a picture id with you to your appointment. This is a very comprehensive series of tests, which takes approximately 4 hours. It is very important that you be on time.

You will need to wear comfortable, loose fitting clothes and sneakers for your evaluation. If you wear eyeglasses or use back or knee supports, please bring them with you as well. If you have been prescribed any medications, please take them as usual.

Please contact our office at (212) 677-3989 upon receipt of this letter to obtain directions to our facility. PLEASE REVIEW THE HEART QUESTIONNARE THAT IS ENCLOSED WITH THE LETTER. Please be aware that HealthSouth requires a minimum of 72 hours notice in the event of a cancellation. Your insurance company will be notified if you cancel or do not show for this appointment.

Sincerely, Shewana R. Harris

HealthSouth 33 Irving Place, 9th Floor New York, NY 10003 212-677-3989

cc: Cigna

Mark Sodders Claim Manager CIGNA Disability Management Solutions





June 13, 2005

Steven Alfano 3800 Waldo Avenue, #13-G Bronx, NY 10463

Routing 212E 12225 Greenville Avenue Suite 1000 LB 179 Dallas, TX 75243-9382 Telephone 800.352.0611 x5693 Facsimile 860.731.2907 Mark.Sodders@Cigna.com

Re:

Claimant:

Steven Alfano

Policyholder:

Cornell University Medical College

Policy Number:

NYK 1972

CIGNA Life Insurance Company of New York

Dear Mr. Alfano:

This letter is in reference to the captioned Long Term Disability (LTD) claim.

Thank you for your inquiry received in our office on June 10, 2005. Enclosed is a copy of your Long Term Disability Policy for which you are covered for Long Term Disability benefits.

Should you have any questions, please contact the undersigned at 800.352.0611 x5693.

Sincerely,

Mark Sodders

Sodders, Mark D 212

From: Sent:

Steven Alfano [steven.alfano@verizon.net]

To:

Friday, June 10, 2005 3:18 PM

Sodders, Mark D

Subject:

function test

Hi Mark,

Steve Alfano

Can you send me a full copy of Cornell's LTD policy with Cigna, I have only a Summary Plan description. Also, please include any information you have decribing the function test itself. Thanks,



07/05/05

Mr. Steven Alfano 3800 Waldo Drive Bronx, NY 10463

Dear Steven:

Cigna has referred you to HealthSouth Sports Medicine and Rehabilitation for a 1 -Day Functional Capacity Evaluation. Your appointment has been scheduled for July 26, 2005 at 10:00 a.m.. Please make sure you bring a picture id with you to your appointment. This is a very comprehensive series of tests, which takes approximately 4 hours. It is very important that you be on time.

You will need to wear comfortable, loose fitting clothes and sneakers for your evaluation. If you wear eyeglasses or use back or knee supports, please bring them with you as well. If you have been prescribed any medications, please take them as usual.

Please contact our office at (212) 677-3989 upon receipt of this letter to obtain directions to our facility. PLEASE REVIEW THE HEART QUESTIONNARE THAT IS ENCLOSED WITH THE LETTER. Please be aware that HealthSouth requires a minimum of 72 hours notice in the event of a cancellation. Your insurance company will be notified if you cancel or do not show for this appointment.

Sincerely, Shewana R. Harris

HealthSouth 33 Irving Place, 9th Floor New York, NY 10003 212-677-3989

cc: Cigna



06/29/05

Mr. Steven Alfano 3800 Waldo Drive, 13-G Bronx, NY 10463

Dear Steven:

Cigna has referred you to HealthSouth Sports Medicine and Rehabilitation for a 1 -Day Functional Capacity Evaluation. Your appointment has been scheduled for July 7, 2005 at 10:00 a.m.. Please make sure you bring a picture id with you to your appointment. This is a very comprehensive series of tests, which takes approximately 4 hours. It is very important that you be on time.

You will need to wear comfortable, loose fitting clothes and sneakers for your evaluation. If you wear eyeglasses or use back or knee supports, please bring them with you as well. If you have been prescribed any medications, please take them as usual.

Please contact our office at (212) 677-3989 upon receipt of this letter to obtain directions to our facility. PLEASE REVIEW THE HEART QUESTIONNARE THAT IS ENCLOSED WITH THE LETTER. Please be aware that HealthSouth requires a minimum of 72 hours notice in the event of a cancellation. Your insurance company will be notified if you cancel or do not show for this appointment.

Sincerely,

Sports Physical Therapy of New York, P.C. 33 Irving Place, 9th Floor New York, NY 10003 Phone (212) 677-3989

cc: Cigna



Message Confirmation Report



JUN-21-2005 10:38 AM TUE

Fax Number

9729521262

Name

: CIGNA DALLAS

Name/Number :

918006348532----88595

Page

Start Time

: JUN-21-2005 10:37AM TUE

Elapsed Time :

01'09"

Mode

STD ECM

Results

[O.K]

Facsimile Transmission Cover Sheet



| Transmit to FAX rumber 1-800-634-8532 | Date July 21, 2005 | 10:38 AM | Total number of pages (Including this sheet) 9 |
|--|-----------------------|---|---|
| То | | From | |
| Name Melissa Harrison | | Numa Tiffany Brown | ······································ |
| Company Healthsouth | | Department Expert Resource | |
| Phone 1-800-634-8536 | | Phone 1-800-352-0611 *1082 | |
| Address | | Address 12225 Greenville Ave Dallas, TX 75243 | |

Claimant, Albano, Survey

1 Day FCE request please. Attached are the documents. Please contact me with any questions.

Please Rush

Please

Thank you,

Tiffeny Groups

CONFIDENTIALITY NOTICE: If you have recoved this facularite in error, please incandately moly the under by telephone at the number above. The decisions accompanying this factantle transaction contain ranformial sefemation. This information is intended only for the use of the intended only fire the use of the intended only fire the use of the

TOCHA!" sear "CECHA Cropp Liberraturi" are applicated service assets and edge to response oppositing subsidiation on CIGIA, Composition, it reduces and as refer as provided by shree subsidiation and past by CEANA Composition. These subsidiation protects Libe thousance Company of Neutral Advisor, CEANA Side transverse Conserva-

[] Acknowledgment Requested

To Fox a reply, dist :

Facsimile Transmission Cover Sheet





| Transmit to FAX number 1-800-634-8532 | ^{Date} July 21, 2005 | Time 10:38 AM | Total number of pages (including this sheet) 9 |
|---------------------------------------|---|---|---|
| То | | From | |
| Name Melissa Harrison | | ^{Name} Tiffany Brown | |
| Company Healthsouth | | Department Expert Resource | |
| Рhоле 1-800-634-8536 | | Phone 1-800-352-0611 *10 | 082 |
| Address | | Address 12225 Greenville A Dallas, TX 75243 | ve |

Claimant: Alfano, Steven

1 Day FCE request please. Attached are the documents. Please contact me with any questions.

Please Rush

Please

Thank you,

Tiffany Brown

CONFIDENTIALITY NOTICE: If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

"CIGNA" and "CIGNA Group Insurance" are registered service marks and refer to various operating subsidiaries of CIGNA Corporation. Products and services are provided by these subsidiaries and not by CIGNA Corporation. These subsidiaries include Life Insurance Company of North America, CIGNA Life Insurance Company of New York, and Connecticut General Life Insurance Company.

[] Adknowledgment Requested

To Fax a reply, dial:

CIGNA REFERRAL FORM

| | • . |
|--------|-------|
| Tinder | nier. |

☐ Connecticut General Life

X CIGNA Life Insurance Company of New York White tion

Service Requested:

X 1-Day FCE

□ 2-Day FCE

FCE Preference:

☐ Own Occupation

X Any Occupation

Has the claimant been notified of the referral for a FCE? X Yes

| | CLAINA | NT INFORMATIO | |
|-----------------------------|----------------------|-------------------------|-----------------|
| Date Referred: | | Claim# | |
| June 9, 2005 | • | 01-LTS | |
| Last Name: | | First Name: | |
| Alfano | | Steven | |
| Claimant's Home Address: 38 | 00 Waldo Drive, 13-G | | |
| Br | onx, NY 10463 | | |
| Home Phone: | D.O.B.: | | ☐ Female X Male |
| 718-884-2067 | 01/14/1958 | | |
| Most Recent Employer: | | Job Title: | |
| Weill Medical College | | Wage and Salary Manager | |

| | REFERRAL SOURCE INFORMATION | |
|-------------------------------|--------------------------------|------------------|
| Referral Source: | Phone: 800-352-0611, ext. 7158 | Office Location: |
| Ginny Schmidt | Fax 860-731-3244 | Dallas, TX |
| Billing Contact/Case Manager: | Phone: 800-352-0611, ext. 5693 | Office Location: |
| Mark Sodders | Fax: 860-731-2907 | Dallas, TX |

| | INJURY INFORMATION | |
|----------------------|----------------------------------|-----------------------------------|
| Attending Physician: | Phone: 212-746-2879 | Address: 505 E. 70th Stret/HT 450 |
| Keith Roach, MD (IM) | | NYC 10021 |
| | Fax: 212-746-8127 | |
| Date of Disability: | Diagnosis: | |
| June 6, 2000 | Lumbar Spinal Stenosis, Cervical | DDD |

SPECIAL INSTRUCTIONS/REFERRAL QUESTIONS:

FCE Referral Questions

| U14 | mant ivame: Steven Attano | |
|-------------|---|---|
| Cas | e Manager: Mark Sodders | Date: June 9, 2005 |
| CIC | NA Group Insurance | FCO location: |
| The foll | Functional Capacity Evaluation has been requestioning: (Please check all that are applicable.) | ted to determine the following information or because of the |
| Χ | | ies to determine individual's capability to perform any we rationale if unable to perform a full 8-hour day. |
| | 2. Can this individual safely return to his/her or description enclosed.) | cupation? (Determine work ability based on: DOTand/or job |
| | 3. Does the functional level of the client match accommodations needed to return to work? | those of his/her own occupation, or are reasonable |
| | 4. If the client is unable to safely perform his/he the job in a safe manner? | er own occupation, what are the limiting factors from performing |
| | 5. Can the individual return to work in a modifi | ed or light duty status? |
| Χ | 6. Determine safe, permissible lifting abilities a | nd general physical demand category. |
| Χ | 7. Perform consistency of effort testing and con- | relate clinical versus functional presentation. |
| Χ | 8. Did individual demonstrate maximal effort th | aroughout testing, or were self limiting behaviors observed? |
| Χ | 9. Provide a report of any discrepancy between behavior. | the subjective complaints, objective findings, and observed |
| | Complicated case presentation involving m syndrome, myofascial syndrome, cancer, di | ultiple systems, i.e. fibromyalgia, RSD, chronic fatigue abetes, etc. |
| | 11. Provide treatment recommendations with ol improved functioning. | ojective rationale explaining purpose, goal and prognosis for |
| Χ | 12. Do <u>not</u> provide treatment recomm | endations with report. |
| X | 13. Provide written observations of the individual arid if anyone accompanied the individual to the | nal's physical appearance, timeliness, mode of transportation, ne evaluation. |
| Χ | 14. Provide physical abilities or capabilities for | m with final report. |
| | 15. Other Specific Instructions: | |

Frequently

21-50 lbs. 51-100 lbs

100+ lbs.

Check if

| the boxes corresponding to the patient's le | ABILITY ASSESSMENT Laim in order to determine functiona. Pairment Please check evel of physical functioning. Please substantiate your on. (Failure to provide the requested reports/data may ons). |
|---|--|
| Patient Name | Date of Birth |
| Diagnosis(es)/ICD-9 Code | |

Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:

Not applicable

Occasionally Continuously (34-66%) (2.5 - 5.5 (67-100%) (1-33%) supported to by objective (<2.5 hrs) diagnosis(ಜ) (5.5 + hrs) findings hrs) Sitting: Standing: Walking: Overhead Reaching. Desk Level Below Waist Fine Manipulation: Right: Left: Right: Simple Grasp: Left: Right: Firm Grasp: Left: 10 lbs. Lifting: 11-20 lbs. 21-50 lbs. 51-100 lbs. 100+ lbs. 10 lbs. Carrying: 11-20 lbs.

ORNELL MEDICAL CENTER



Steven Alfano NYH # 228-41-47 01/22/04 15:42

CORNELL INTERNAL MEDICINE ASSOCIATES

Mt Sinai School of Medicine

IMPRESSION:

Mr. ALfano remains asymptomatic . X-rays show no change in the ledsion in his proximal femur. We will follow him on and annual basis.

Dempsey S. Sprinfield, MD

ms

| | | | | | |
|--|---------------------------------------|--|--|---------------------------------------|---|
| - | Not applicable to diagnosis(es) | Continuously (67-100%) (5.5 + hrs) | Frequently (34-66%) (2.5 + 5.5 hrs) | Occasionally (1-33%) (<2.5 hrs) | Check If supported by objective findings |
| ushing: (Max Wt:) | | | | | |
| alling: (Max. Wt.:) | | | | | |
| Limbing: Regular Stairs | | | | | |
| Regular Ladders | | | | | |
| Balancing: | ; | | | | |
| Stooping: | | | | | |
| Kneeling: | | | | | ; |
| Cronching: | | | | | |
| Crawling: | | | | | |
| Seeing: | | | | | |
| Hearing: | | | | | |
| Smell/Taste: | | | | | |
| Environmental Conditions: | | | | | , |
| Exposure to extremes in heat | | • | | | |
| Exposure to extremes in cold | | | | | |
| Exposure to wet / humid | | | | | |
| conditions Exposure to vibration | | | | | |
| Exposure to odors / fumes / | | | | | |
| particles Can work around machinery | | | | | |
| Ability to work extended | | | | | <u> </u> |
| shifts/ overtime: | | | | | |
| Use lower extremities for foot | | | | | |
| controls: Please use this space to elabor | ate on ANY of | the above cat | egories: | | |
| 1 10000 | · | | | | |
| | | | | | |
| | | | | | |
| Name: | | | | | • |
| Medical Specialty: | | Dat | | | |
| Address: | | Pho | one: | | |
| Federal ID tax number: | | | | | |
| Please includ | le any objec | tive test or | narrative | if available. | |
| | Thank v | ou for your | time. | | |

THE NEW YORK HOSPITAL ORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano NYH # 228-41-47 09/10/04 22:44

Progress Note: Steven Alfano / September 10, 2004

Subjective: 46 year old man with

lumbar spinal stenosis - ran out of Oxycontin - did very poorly, now better

HTN - attributes high BP today to running out of meds

neck pain - currently complaining of neck pain/stiffness $R \ge L$

Objective:

BP 140/100 P Wt 275 lbs Height 6fgt 3in 126/96 repeat upper shoulder/neck: B muscle tenderness $R \ge L$

Current Medications:

LISINOPRIL 20MG TABLET / 1 tab po qd
TRIAMCINOLONE 0.1% CREAM / apply bid
PREVACID 30MG CAPSULES / 1 po qd
IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pm
IMITREX 50MG TABLET / 1-2 tabs with onset of migrain
ASPIRIN 81MG TABLET EC / 1 po qd
OXYCONTIN 40MG TABLETS / 1 tab po tid
ZESTRIL 20MG TABLET / 1 po qd

Allergies:

Impression:

Plan:

neck pain: discussed problem of deciding whether to treat if identified CERVICAL SPINE, 4 VIEWS

HTN - may need additional therapy Discontinued: ZESTRIL 20MG TABLET / 1 po qd

RTC

Keith Roach, M.D. Electronic Signature on File



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano NYH # 228-41-47 05/23/02 12:29

HSS

MRI LOWER EXTREMITY

Dr. Michael Alexiades

IMPRESSION:

Magnetic resonance imaging of the right hip demonstrating superficial cartilage loss over the hip joint, borderline acetabular dysplasia and a torn, hyperplastic and degenerated anterior acetabular labrum.

There is a marrow replacement process affecting the left femur which overall has a non-aggressive appearance. Differential possibilities are noted, as above.

Dictated by Hollis Potter M.D.

THE NEW YORK HOSPITAL ORNELL MEDICAL CENTER



Steven Alfano NYH # 228-41-47 05/01/03 11:23

CORNELL INTERNAL MEDICINE ASSOCIATES

Mt Sinai School Of Medicine

January 224th 2003

Dr. Dempsey S. Sprinfield, MD Orthopaedic Surgeon 212 000 0000 fax # 212-534-6145

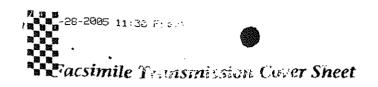
DX: LSMFT

Impression:

Left hip remains the same with an occasional discomfort. He has no limp and he functions well. He has more difficulty with his right hip and has decided to have the labral tear repaired.

AP and lateral x-rays today show no change in the lesion in the proximal intertrochanteric and subtrochateric areas with radiolucencies and readiodensities. I compared it to the one taken in July.

ms





CIGNA Group Insurance Life - Accident - Disability

| Transmit to FAX number | Date | Time | Total number of pages |
|------------------------|-------------------------|--------------------|--|
| 212-746-8127 | April 2 8, 20 05 | 1:00 p.m. | (including this sheet):4 |
| | | | |
| Name | | Name | |
| Dr. Roach | | Mark Sodders | |
| Company | | Deparation | |
| | | CIGNA Disability | Management |
| Phone | | िरस्थात | |
| 212-746-2879 | | 1.800/232.0611 E | xtension 5693 |
| Address | | Address | e de la companya del companya de la companya del companya de la co |
| | | 12225 Greenville A | |
| | | Saste 105c, LB 179 | |
| | | Dallas Texas 7524 | 13 |

| Comments | |
|-------------------------|--|
| RE: | Steven Alfano |
| DOB: | 01/14/1958 |
| Policyholder: | Weill Medical College NYK 1972 |
| Underwriting Corpussion | CIGNA Life Issurance Company of New York |

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost seem become) we are in need of the following information:

- Copies of your groguess a wes, including diagrantic test and lab results, from 8/1/04 to the passent.
- A completed Physical addition Assessment form (attached).

We ask that you kindly respond by 5/11/05 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Sodders

CONFIDENTIALITY NOTICE: If you have received this facilities in error, ; by telephone at the number above. The securnates ecompanying this facts are not emission continued confidential information. This informacies is because their for the use of the individualis) in entity named above. Thank you for your compliance.

PORTER TO THE PROPERTY OF THE PARTY OF THE PARTY OF THE PARTY.

Connecticut Contral Life traurence Company CIGNA Life Inturence Company of New York

[] Acknowledgment Requested

To Fax a . . . iy, dial : 800.731.2907 A THE RESIDENCE OF THE PROPERTY OF THE PROPERT

| | APR-28-2005 | 11:30 | From: |
|--|-------------|-------|-------|
|--|-------------|-------|-------|

| To: 1212 | 746 | 8127 |
|----------|-----|------|
|----------|-----|------|

P.2

PHYSICAL ABILITY ASSESSMENT

We are evaluating your patient's disability claim in order to determine functional impairment Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

| Patient Name | Date of Birth | |
|------------------------|---------------|--|
| Diagnosis(es)/ICD-9 Co | de | |

Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:

| | | Not applicable to diagnosis(es) | Continuously (67·100%) (5.5 + hrs) | Frequently (34-66%) (2.5 - 5.5 hrs) | Occasionally (1-33%) (<2.5 hrs) | Check if supported by objective findings |
|-------------------|-------------|---------------------------------|--|--|---------------------------------------|--|
| Sitting: | | | | | | |
| Standing: | | | | | | |
| Walking: | , | | | | | - ' |
| Reaching: | Overhead | | | | | |
| | Desk Level | | | | | and the state of t |
| | Below Waist | | | | | |
| Fine Manipulation | ion: Right: | | : 3 | | | |
| - | Left: | | | | | |
| Simple Grasp: | Right: | | | | | |
| | Left: | | | | | |
| Firm Grasp: | Right: | | | | , | |
| | Left: | | | | | |
| Lifting: | 10 lbs. | | | | | |
| | 11-20 lbs. | | | | } | |
| | 21-S0 lbs. | | | | : | |
| | 51-100 lbs. | | | | | |
| | 100+ lbs. | | | | | |
| Carrying: | 10 lbs. | | | | | |
| | 11-20 lbs. | | **:::: | | | |
| | 21-50 lbs. | | | • | · · · · · · · · · · · · · · · · · · · | |
| | 51-100 lbs | | | | | |
| | 100+ lbs. | | | | | |

To: 1212 746 8127 P.3

APR-28-2005 11:30 From:

| | Not applicable to diagnosis(es) | Continuously (67-100%) (5.5 + hrs) | Frequently (34-66%) (2.5 - 5.5 hrs) | Occasionally (1-33%) (<2.5 hrs) | Check if supported by objective findings |
|--|---------------------------------------|--|--|---|---|
| ushing: (Max. Wt.:) | | | | | |
| ulling: (Max. Wt.:) | | | | | |
| limbing: Regular Stairs | | | | | |
| Regular Ladders | | *************************************** | | | |
| alancing: | | | | | |
| tooping: | | | | | |
| (necling: | | | | - | · |
| rouching: | | | | | |
| | | | | | |
| Crawling: | | | - | | |
| eeing: | | | | | <u> </u> |
| Hearing: | | | | | |
| imell/Taste: | | | <u> </u> | | <u> </u> |
| Environmental Conditions: | | , | | | |
| Exposure to extremes in heat | | | | | |
| Exposure to extremes in cold | | | | | |
| Exposure to wet / humid | | | | | |
| Exposure to vibration | | | | | |
| Exposure to odors / fumes / particles | | | | | |
| Can work around machinery | | | | | |
| Ability to work extended shifts/ overtime: | | | · | | |
| Use lower extremities for foot controls: | | | , | | |
| Please use this space to elabor | ate on ANY of | the above cat | egories: | | |
| | | | | • | |
| Name: | | Sig | nature: | | |
| Medical Specialty: | | Dat | | · · · · · · · · · · · · · · · · · · · | |
| Address: | | Pho | one: | | |
| Federal ID tax number: Please includ | a any object | tive test or | narrative | if available | |
| | /102 V 935 936"S | | | | |

APR-28-2005 11:30 From:

NOV. 30. 2004 3:30PM

To:1212 746 8127

NO. 279

DISCLOSURE AUTHORIZATION

Claimant's Name (Please Print):

AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnoses, prognoses, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related teeting, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audits or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurer, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, nelghbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim tites and claim history, work history and work related activities.

UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and I or my authorized representative may request one. For my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a) reinsuring companies; b) the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c) fraud or overingurance detection bureaus; d) anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employers involved in return to employment discussions; e) for audit or statistical purposes; f) as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information dose not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 OFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information it disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may poste subject to the Health Insurance Portability and Accountability Act (HiPAA).

Signature of Claimant or

Claimant's Authorized Representative:

Relationship,

if other than Claimant

Company Name:

Claimant's Social Security Number

ance convent of

PROHIBITION ON REDISCLOSURE

If the medical information contains information regarding drug or algebra abuse, it may be presented under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such preceded information from the Company from making any further disclosure of it without the specific written consequence. The person to whom it certains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to oriminally investigate or prosecute any alcohol or drug abuse patient.

Pege 4 of 5

60505

PATIENT INFORMATION SHEET

EMERGENCY CONTACT Steven Alfano NAME Eva Alfano 3800 Waldo Ave #13G PHONE 718-884-2067 Bronx, NY 10463 HOME OFFICE 718-884-2067 scc.sec (212)746-1038 FOSP # DATE OF BIRTH PLACE OF BIRTH s D 099-44-9648 228-41-47 01/14/1958 SPOUSES NAME ** MOTHER'S NAME FATHER'S NA Eva Alfano EINS: CO'NAME (Primary) INS. CO. NAME (Secondary) United HealthCare (Employee) Medicare PLAN# GROUP# 963376884 099-44-9648-A Dacheses OXYCONTIN 80MG TABLETS / 1 tab po gid LISINOPRIL 20MG TABLET / 1 tab po qd TRIAMCINOLONE 0.1% CREAM / apply bid PREVACID 30MG CAPSULES / 1 po qd IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pro-IMITREX 50MG TABLET / 1-2 tabs with onset of migrain ASPIRIN 81MG TABLET EC / 1 po qd VIOXX 50MG TABLET / 1 lab no od

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 12/15/95 16:24

Progress Note: Sieven Alfano / December 15, 1995

Subjective: still wants to lose the weight and stop smoking wife was sick with infectious diarrhea recently

Objective:

BP P Wt 264.5 als Li clear C: m

Current Medications.

AMOXICILLIN 250MG CAPSULE / 1 po tid NICORETTE 2MG CHEWING GUM / no more than 8 per day ASPIRIN SIMG TABLET EC/1 po qd

Impression:

Plan: primatene aibs rug pain resolved cont. diet smaking program

Andrew Schiff, MD

THE NEW YORK HUSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



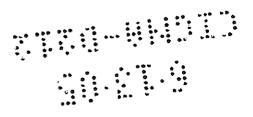
Steven Alfano NYH # 228-41-47 05/16/96 16:29

Dear Mr Allano:

Xray revelus question of stress fracture of ortho follow has

Sincerely.

Andrew Schiff, MD



THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 06/30/97 15:06

Progress Note: ...even Alfano // June 30, 1997

Subjective:

Patient experiencea Motor Vehicle Accident yesterday when he was hit on the driver's sace door resulting in confusions of the left side of his body.

No loss of consciousness, no visual changes, no head trauma.

complains of:

confusion on mental aspect of left knee contasion of lateral aspect of left thigh tenderness of the tower left side of his back. tenderness and saithess of his right neck.

Objective:

BP 130/96 P 89 reg. Wi Op: clear L. clear C: RRR no murmur Full range of motion at knee left side full range of monon of hip and left side straight leg raise to 75 degrees contuson on mental aspect of left knec some stiffness on rotation of neck N: intact 2-12 intact balance intact

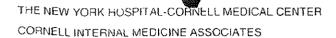
Current Medications

ESGIC-PLUS TABLET / 1-2 tabs po pro headache AXID 150MG PULVULE / 1 po bid ASPIRIN RIMG TABLET EC/ 1 po qd

Impression:

s/p MVA

Plan: Hexeril rest



Steven Alfano NYH # 228-41-47 12/29/97 10:53

Message from Steven Attano:

Reason for cali:

Pt w/ sore throm. ? fever, no cough, [+] lan, tonsils were removed child sick at home

Assessment

1)Rx Biaxin 500 mg po nid x7 2)DJ pharmacy 118-549-6709

Glen B Gechlik, MD

THE NEW YORK HUSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH# 228-41-47 03/02/98 14:55

Progress Note: Sieven Alfano / March 2, 1998

Subjective: 40 year old man with

Objective:

BP 150/90 P 72 ice Wt

Current Medications:

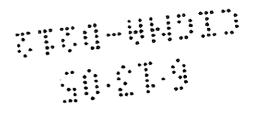
BACTRIM DS TABLET / I po bid TENORMIN 50MG TABLET / I po daily ESGIC-PLUS TABLET / 1-2 tabs po prin headache AXID 150MG PULVULE / 1 po bid ASPIRIN SIMCI TABLET EC/ I po qd BACTROBAN 2% OINTMENT / apply bid as directed

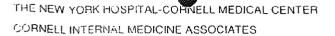
Impression:

Plan.

MREBRAIN W/O CONTRAST CARDIOVASCULAR EVAL

Andrew Schiff, MD







Steven Alfano NYH# 228-41-47 03/02/98 15:30

Progress Note: Steven Allano // March 2, 1998

Subjective: 36 year old man with sinus congestion vellow discharge chronic hendaches (common migraine variant) esgic not working will try tenromin his daughter has acadaenes obesity diet needs substantial work hypercholesterolemia needs work persistent URI probably related to fatigue stress joint pain right shoulder left knee would benefit from pr

Objective:

BP 150/90 P 72 cag Wi from at joints Nientirely intact, 11-XII

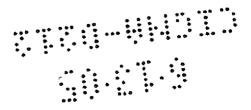
Current Medications:

BACTRIM DS TABLET / I po bid TENORMIN SOMG TABLET / I po daily ESGIC-PLUS TABLET / 1-2 tabs po pri headache AXID ISOMG PULVULE / Upo bid ASPIRIN SIMG TABLET EC/ Lpo qd BACTROBAN 2/4 OINTMENT / apply bid as directed

Impression as above

Plant

MRI BRAIN W/O CONTRAST CARDIOVASCULAR EVAL TYPE & SCREEN BATTERY revisit I week Andrew Schin, saD



THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Filed 07/28/2008

Steven Alfano NYH # 228-41-47 03/31/98 10:15

Progress Note: Tacver Affano / March 31, 1998

Subjective: 16 cent old min with

headaches

respond to summann

maybe should as cortee bid

excercise

over left temporar lobe

also with involvement or left misal passage

tenormin does not improve it

may try ca blocker sumapiripian por

taper off tenrousin

Objective:

BP 130/88 P 84 reg Wi

Current Medicanons.

VERELAN 240MG CAPSULE SA7 Lpo qd BACTRIM DS TABLET / Lpo bid TENORMIN SOMG TABLET / Lpo bid ESGIC-PLUS TABLET / 1-2 tabs po pri beadache AXID ISOMG PULVULE / 1 po bid ASPIRIN SIMO TABLET EC/ I po qd BACTROBAN 2% OINTMENT / apply bid as directed

Impression

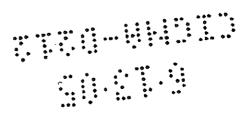
headaches

multifactorial

Ph:m:

as above

Andrew Schiff, 6xD



THE NEW YORK HOSPITAL-COMMELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 04/06/98 17:17

Dear Mr Allano:

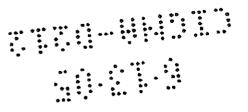
Enclosed are radiology films that were sent to us by your radiologist. These films were taken on .

The best way for you and your caregivers to ensure that these films are available to you for use in the future is to give them to you for safekeeping. It is important for your physician or nurse practitioner to have old films available to compare with fittes that may be taken of you in the future. This is true even if the films we are sending you are numeral.

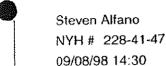
If you have any questions about your films, please feel free to ask your Doctor or Nurse Practitioner about them at the time of your next visit.

Sincerely.

Cornell Internal Medicine Associates



THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Progress Note: Seeven Alfano / September 8, 1998

Subjective. 4tr year old man with no significant PMHx now with 2 day h/o sore throat, coughing up yellow mucus, burning in chest assoc with coughing, mild DOE, and congestion in ears. +/- fevers. (+) h/a, generalized muscle aches. (+) sick contacts at home (wife and daughter with URI sxs over past few days). Pt 25 pack year smoker, quit 2 days ago and room on nicotine patch. No recent travel hx. No known TB exposure; last PPD 4 years ago, negative. Never had pneumovax. Otherwise, no chills, no discharge from eyes/ears/nose, no masal congestion, no swelling of nodes in neck, no dizziness or lightheadedness. No known HIV risk factors,

NKDA

Objective

BP: 145/90 P: Sto regular T: 96.5 RR. 20

HEENT: conjunctions pink. TMs clear b/I with good light reflex, OP with minimal crythema

Neck: supple, no r.AD, no masses

Lungs; CTA b/I

Heart: RRR. No M/R/G

Carrent Medications:

Imitrex 50mg po prn migraines, last time few days ago

Axid 150mg po qd pen, last time 5 days ago-

ECASA 81 mg po qd

Impression:

40 ya man with 25 pack year smoking hy presents with tracheobronchitis v. arypical pneumonia. Given significant tito smoking, will elect to Rx with Biaxin despite probable viral etiology given co-morbid condition.

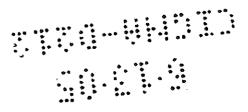
Plan:

- 1. Trachgobronenius v. atypical pneumonia:
- -Biaxin 500mg po bid x5 days
- thirds
- -OTC guadenasm for cough pra-

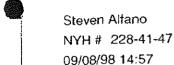
- -encouraged pero follow through with smoking cessation
- -conferment medications
- -Dr. Schiff in 6 month

Pr seen and d/w Dr. Anderson.

Steve Same-Yoon Kim, MD



THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



PIC Note: Steven Allamo / September 8, 1998

Case reviewed wan Dr Kim. Patient seen and examined by me.

Details of history and physical as per resident's note.

40 year old man here for sore throat, cough productive of yellow mucous, burning sensation in chest when coughs. He also has convestion in ears. These sxs have been going on for the past 2 days.

Objective:

BP=145/90 P=90 Alebrile

HEENT-

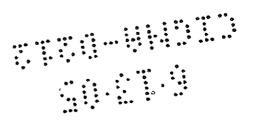
Current Medications:

AXID 150MG PULYULE / 1 po bid ASPIRIN SIMG TABLET EC/ Lpo qd IMITREX-50MG-TABLET / 1-2 tabs with onset of migran -

Impression:

Plan:

Sheila Anderson, MD AN028



THE NEW YORK HUSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano NYH# 228-41-47 09/28/98 12:39

Filed 07/28/2008

Progress Note: Aleven Althno. / September 28, 1998

Subjective, 40 year old man with

smoking cessation with encouragmeent stopped smoking over labor day usesil niconerin actiones briefly

shoulder prior surgery or right shoulder notes some discomfort

weight has gained weight since smoking cessition advised him to reduce carbohydrates

unigraine beadacnes your imitrex

foot some pain esp with weight bearing

Objective:

BP 120/80 (once he rested in the room for 5 minutes) P 72 reg WE300 ibs Li clear C: RRR A: soft foot; normal examshoulder some pain with abduction with resistance at intact

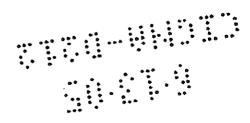
Current Medicanous:

BIAXIN 500MG TABLET / 1 po bid with meals AXID ISOMG POLVULE / 1 pe bid ASPIRIN STMG TABLET EC/ Lpo qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: dehold bursitis rotator cull strain alexaides watch carbohydrates inners for migrame finit better arch support

Plan:

ORTHOPEDIC CONSULT



THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 09/28/98 12:39 Page# 2

Andrew Schilf, wtD

THE NEW YORK HOSPITAL-COMMELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 11/24/98 15:04

Progress Neich Lauven Alfano / November 24, 1998

Subjective: 46 year old man with

revisa

- (1) weight-stite over 300 lbs, decreased headache sx on low carbohydaire, imporved bin/less constipation, generally feels better
- (2) wants inspection to small cyst on anterior right leg- ok prob small dermal cysts, also has skin tag in groin region-wheliae should be removed. neither are painted and both have been there for awhile

unchanged still stress at work

Objective: well-appear al thyroid no cerv, se, axitt tymphs skin; multiple skin tags BP 130/80 P72 Wt 302 lbs Li clear, no wheezes C: RRR, no mara-A. soft, in (+) hs n: intact

Current Medications:

BIAXIN 500MG TABLET / Lpo bid with meals AXID 150MG PULVULE / I po bid ASPIRIN SIMG TABLET EC/ Lpo qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: deem for skin tag removal continue on low earbohydrate diet

Plan: Andrew Schift, 141D

THE NEW YORK HOSPITAL-CONNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 02/18/99 13:43

Progress Note: Aceven Alfano. 7. February 18, 1999.

Subjective: 4) year old man with left thumb pain playing with son jammed thumb

smoking cessation with encouragmeent stopped smoking over labor day usesd nicoderm patches briefly

shoulder prior surgery of right shoulder notes some discomfort

weight has gained weight since smoking coastion advised him to reduce carbohydrates

migraine beadactics vont imirex

some pain esp with weight bearing

Objective:

3P P Wt from at themp no contusions

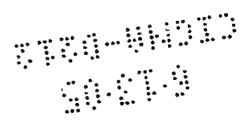
Current Medications:

BIAXIN 500MG TABLET / 1 po bid with meals AXID 150MG PULVULE / 1 po bid ASPIRIN 81MG TABLET EC / 1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: prob ligamentous tear/ thumb sprain asaids conservative (x)

acy intranasal immeex

Plant Andrew Schift, 1910



THE NEW YORK HUSPITAL-CONNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 02/22/99 11:14

Progress Note: acven Alfano / February 22, 1999

Subjective: 41 year old man with swollen right thamb over weekend so training no clear injection painful no by of gont

Objective:

BP-P-WI red swollen son assue surrounding thumb

Current Medications:

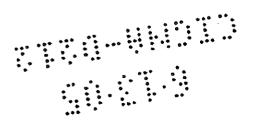
KEFLEX 500MG PULVULE / 1 po bid INDOCIN 50MG CAPSULE / 1 po tid with food IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intrinasally pro BIAXIN 500MG TABLET / 1 po bid with meals AXID 150MG PULVULE / 1 po bid ASPIRIN SIMG TABLET EC / 1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: indocin keflex

Plan:

call if no improvement

Andrew Schiff, NrD



THE NEW YORK HOSPITAL-CONNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 03/05/99 08:47

Dear Mr Allano:

Enclosed are rannotogy films that were sent to us by your radiologist. These films were taken on .

The best way for you and your caregivers to ensure that these films are available to you for use in the future is to give them to you for safekeeping. It is important for your physician or nurse practitioner to have old films available to compare with films that may be taken of you in the future. This is true even if the films we are sending you are normal.

If you have any questions about your films, please feel free to ask your Doctor or Nurse Practitioner about them at the time of your next visit.

Sincerely.

Cornell Internal Medicine Associates

THE NEW YORK HUSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Steven Alfano NYH # 228-41-47 04/23/99 09:30

PIC Note: Steven Alfano / April 23, 1999

Case reviewed with Dr Malebranche,

Details of history and physical as per resident's note.

41 year old man here for fracture lifth toe-happened a few days ago-got an xray which shows fracture of 5th metatarsal which is nondosplaced and not going through joint. Pt buddyraped. Here for eval...

Objective:

BP P T Wi ecchymoses toe

Current Medications:

KEFLEX 500MG PULVULE / 1 po hid INDOCIN 50MG CAPSULE / 1 po tid with food IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray internasally pra BIAXIN 500MG TABLET / 1 po bid with meals AXID 150MG PULVULE / 1 po bid ASPIRIN SIMCLTABLET EC/1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression:Uncomplicated fracture 5th metatarsal

Planipin NDSAIDS no further intervention necessary

Leanne Forman, MD 50046

THE NEW YORK HUSPITAL-CORNELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES



Filed 07/28/2008

Steven Alfano NYH # 228-41-47 04/23/99 09:37

Progress Note: Reven Alfano / April 23, 1999

Subjective: (a) year old man with hx of migraines, here for eval of toe. Hit right foot on table tuesday night, stubbed right pinky too, with pain, swelling called Dr. Schiff, went to XRAY, found to have fx. Pt buddy taped toes that night fil yesicraci, hur removed tape at time of xray, no pain meds taken

Objective:

right foot - crythema with ecohymotic areas around small too, tender to palpation. 2+ DP pulse, adequate sensation to LT.

Foot film - linear lx at proximal phatynx of small toe

Current Medications:

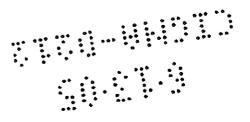
IMITREX NASAL SPRAY 20MG/SPRAY / Espray intranasally pro-IMITREX 50MC TABLET / 1-2 tabs with ouset of migrain

Impression; young mate with proximal phalynx toe fx

Plan:

- .- motrin 400 qa pru 🚬 👢
- warm compresses
- soft shoes as accord
- Dr. with Dr. Schaff prin

David Malebranene, MD







Filed 07/28/2008

Steven Alfano NYH # 228-41-47 05/07/99 13:24

Progress Note: Steven Alfano J. May 7, 1999

Subjective: str year old man with 5th toe fracture ancussed

Objective:

BP P Wt

Current Medications:

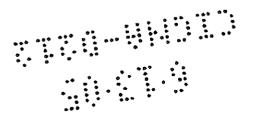
MOTRIN 400Mr.) TABLET / one tab poliq 4 brs prin KEFLEX 500MG PULVULE / Lpo bid INDOCIN 50MG CAPSULE / I poliid with food IMITREX NASAL SPRAY 20MG/SPRAY / Lspray intranasally pro-BIAXIN 500MG TABLET / I po bid with meals AXID ISOMG PULVULE / Lpo bid ASPIRIN REMG TABLET EC/ Lpo qd

IMITREX 50MG TABLET / 1-2 tabs with onser of migrain

Impression

Plant

Andrew Schiff, NID



Filed 07/28/2008

THE NEW YORK HUSPITAL-COMMELL MEDICAL CENTER CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano NYH # 228-41-47 06/03/99 14:17

Progress Note: Steven Alfano / June 3, 1999

Subjective: a. your old man with occasional engastric sx sometimes obsturing; never related to executive

imitrex working wll

Objective:

BP P Wi no ventral herma slight cakness in RA muscles

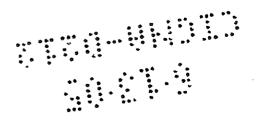
Current Medications:

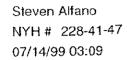
MOTRIN 400MG TABLET / one tab po q 4 hrs prin KEFLEX 500MG PULVULE / 1 po bid INDOCIN 50MG CAPSULE / 1 po tid with food IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pri BIAXIN SOUNTG TABLET / I po bid with meals AXID 150MC PULVULE / I po bid ASPIRIN 81MG TABLET EC / 1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: RX imitrex **UGI** senes RX axid

Plant

Andrew Schirl, MD





Patient Name: ALFANO, STEVEN History #: 228-11-47 Accession #: NY192124U Soc Security: 099-41-9648 Date of Birth; e171-1/58 Sex: of Ordered by: SCHIFF Specimen Dine: 07/14/1999 03:09 Report Date: 47, 20/1999 09:05 Status: Finan

1()4 MG/DL GLUÇOSE, PLASMA 1997 AMERICAN DIABETES ASSOCIATION DIAGNOSTIC CRITERIA FOR DIABETES MELLITUS

GLUCOSE VALUE (MG/DL) INTERPRETATION FASTING RANDOM <11() NORMAL IMPAIRED LASTING 110-126 DIABETES >OR=126* >OR=200**

* MUST BE CONFIRMED BY TESTING ON A SUBSEQUENT DAY. **SYMPTOMS OF DIABETES AND CONFIRMED BY TESTING ON A SUBSECUENT DAY.

COMPREHENSIVE METABOLIC PANEL WITHOUT CO2 GLUCOSE 69 L MG/DL 70-115 MG/DL 7-25 UREA NITROGEN (BUN) iÆ CREATININE 1.1 MG/DL 0.5-1.4 (CALC) 6-25 BUNCREATININERATIO 13 SODIUM 142 MEQ/L 135-146 MEO/L 3.5-5.3 POTASSIUM 3.6 CHLORIDE 103 MEQ/L 95-108 MG/DL 8.5-10.3 8.8CALCIUM 7.2 G/DL PROTEIN, TOTAL 6.0-8.5 4.4 G/DL 3.2-5.0 ALBUMIN G/DL (CALC) 2.2-4.2 2.8 **GLOBULIN** (CALC) 0.8-2.0 ALBUMINGLOBULIN RATIO 1.6 MG/DL 0.0-1.3 BILIRUBIN, TOTAL 0.5 ALKALINE PHOSPHATASE U/Ł 20-125 U/L ()-47AST (SGOT) 23 CBC (INCLUDES DIFF/PLT) WHITE BLOOD CELL COUNT 9 () THOUS/MCL 3.8-10.8 RED BLOOD CELL COUNT 491 MH.L/MCL 4,40-5.80 146 G/DL 13.8-17.2 HEMOGLOBIN 41,0-50.0 42.9 % HEMATOCRIT 80.0-100.0 27.0-33.1 87.3 171 мCV 29.7 PG MCH G/DL 32.0-3630 MCHC 34.1) 9.0-15,0 ./ THOUS/MCL 130-408 CELLS/MCL 550-789 PLATELET COUNT 244 ABSOLUTE NEUTROPHILS 6300 NEUTROPHILS 70 ABSOLUTE LYMPHOCYTES 2160 CELLS/MCL 850-4100 LYMPHOCYTES 24 ABSOLUTE MONOCYTES 450 CELLS/MCL 200-1100 MONOCYTES

Steven Alfano NYH # 228-41-47 . 07/14/99 03:09 Page# 2

ABSOLUTE FOSINOPHILS CELLS/MCL 50-550 **EOSINOPHILS** CELLS/MC1. 0-200 ABSOLUTE BASOPHILS BASOPHILS 4 1) NG/ML FOTAL PSA 0.5 < OR = 4.0



Filed 07/28/2008

Steven Alfano NYH # 228-41-47 07/14/99 15:03

Progress Noic: Sieven Alfano / July 14, 1999

Subjective: 4) year old man with cyst in mount for 3 weeks although, of late, seems to be imporving no fever, chiits, n.v.d

headaches now seems to be better controlled on imprex win refill nasal imprex also encourage low carbohydrate diet given information

prior stress fracture

smoking cessation with encouragineen stopped smoking over labor day usesd nicoderm patches briefly

prior surgery of right shoulder notes some discomfort

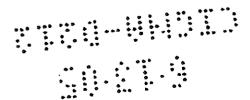
weight has gained weight since smoking cossation advised him to reduce carbohydrates

migraine headaches yout imitrex

Jool some pain esp with weight bearing

Imh father colon cancer prostate cancer

mother-in-law dying married kids works in human resources





Steven Alfano NYH # 228-41-47 07/14/99 15:03 Page# 2

Objective: well-appearing, has a neard ears: clear, of ocuring BP 140/90 P72 Wt 302 lbs op: clear, no crythema no cerv, ax, se nodes Li clear, no wracezes C: RRR, no make At soft, in trans, in its obese

extr: no edeman: intact

small inclusion cyst on posterior portion of right side of mouth.

Current Medications:

IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prn AXID 150MC PULVULE / 1 po bid ASPIRIN 81MG TABLET EC / 1 po qd IMITREX 50mG TABLET / 1-2 tabs with onser of migrain

Impression: weioght loss cloonscopy- father had colon ca, and multiple polyps labs refill imitres reassure about cyst in mouth

Plan:

PROSTATIC SPECIFIC ANTIGEN (PSA) CBC COMPLETE WITH DIFFERENTIAL COMPLETE METABOLIC PANEL (12 TESTS) COLONOSCUPY

Andrew Schitt, MD





Steven Alfano NYH # 228-41-47 10/18/99 09:44

| Progress Note: | Lacyen Allano | 1 | October | 18. | 1999 |
|----------------|---------------|---|---------|-----|------|
|----------------|---------------|---|---------|-----|------|

Subjective: 44 year old man with

crosive duodenns by egd/ hasuk on prevacid Objective:

BP P Wi

Current Medications:

IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prin AXID ISOMG PULVULE / I po bid ASPIRIN STMG TABLET EC / 1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression:

Plan:

Andrew Schiff, MD



Steven Alfano NYH# 228-41-47 12/21/99 14:15

PIC Note: Sieven Alfano / December 21, 1999

Case reviewed with Dr Dye.

Details of history and physical as per resident's note.

41 year old mon over for ... several days on joint pains, myalgias, cough, chills, and burning sensation in chest.

PMH: HTN smoker migraine

ROS; some loose stools no sick comacts we home

Objective: Obese man in NAD

T=98,6 F

EXAM as documented by Dr. Dye

Current Medications: IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prin AXID 150MG PULVULE / 1 po bid ASPIRIN STAGE TABLET EC/ 1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: Virai dRI

Plan: Supportive care w/ Huids, OTC cold remedies, tylenof prn.

Sheila Anderson, MD AN028



Steven Alfano NYH # 228-41-47 12/21/99 14:20

Progress Note: Steven Alfano / December 21, 1999

Subjective: 41 year old man with mild headache, muscle aches loose stools dry cough with substernal burning. burning NOt assoc with exertion not had before. +smoking, no asthma no known wheezing in past, no fever sweat mild chills.

Objective:

T 98.6

heent conjunctiva clear nares clear no mucus op clear no exudates

neck - no lad

lungs clear no wheeze/rales

card - regular s1 s2

abd - +bs soft obese

Current Medications:

IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pm AXID 150MG PULVULE / 1 po bid ASPIRIN 81MG TABLET EC / 1 po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

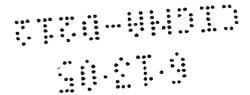
Impression:

mild viral illness.

Plan:

- 1. humidify air passages as much as possible: saline nasal spray/humidifier at night; drink fluids
- 2. tylenol or usaid for aches
- 3. discussed merits of smoking cessation
- 4, call clinic if develop purulent cough for eval poss abx and bacterial infection developing in pt with significant tobacco use
- 5. routine i/u 7/00

James Clayton Dye, MD d/w Dr. Sheila Anderson





Filed 07/28/2008

Steven Alfano NYH # 228-41-47 03/13/00 11:18

Progress Note: Steven Alfano / March 13, 2000

Subjective: all year old man with bph symptoms and will send to urology normal psalar ady

Case 1:07-cv-09661-GEL

sx of right stated? loss of periph vision momentary yesterday with kid lasted momentarily then resolved has not occurred again though bp is elevated today

crosive gastirus by egd on prilosec

headaches now seems to be better controlled on imitrex with refill nasal imitrex also encourage tow carbohydrate diet given information

prior stress tracture

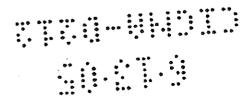
smoking cessation with encouragmeent stopped smoking over labor day usesd nicoderm patches briefly

shoulder prior surgery of right shoulder notes some discomfort

weight has gained weight since smoking cossation advised him to reduce carbohydrates

migraine headaches vont imitrex

foot



Filed 07/28/2008

Steven Alfano NYH # 228-41-47 03/13/00 11:18 Page# 2

some pain esp with weight bearing

fmh father colon cancer prostate cancer

mother-in-law dying married kids works in human resources

Objective: well-appearing, has a beard ears: clear, of nearing BP 160/100 P72 Wt 302 lbs opt clear, no crythema no cerv, ax, se nodes Li clear, no wheezes C: RRR, no mang A: soft, nt (+) bs, nl 1s obese extrano edenia n: intact 2-12 intact inotor 5/5

Current Medications:

IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pro AXID 150MC PULVULE/ Lpo bid ASPIRIN STATE TABLET EC/ I po qd IMITREX 50MG TABLET / 1-2 tabs with onset of migrain

Impression: colon begin norvase for bp 5 then 10 restunt 2 weeks call immediately if other neuro sx

Plan:

vfftc perria

UROLOGY CONSULT



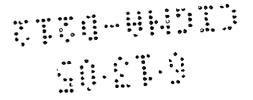


Steven Alfano NYH # 228-41-47 03/13/00 11:18 Page#3

New medications: NORVASC 10MG TABLET / Lpo qd NORVASC 5MG TABLET / 1 po qd

RTC 2 wks

Andrew Senti, MD





Steven Alfano NYH# 228-41-47 03/27/00 11:27

Progress Note: Gieven Altimo / March 27, 2000

Subjective: 42 year old man with now on bp meas and by imporved and headaches improved

Objective:

BP 140/90 P. Wi 302 lbs (130/80 when a took it

Current Medicanous:

NORVASC TOMG TABLET / I polid IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray imranasally prin AXID 150MG PULVULE / 1 po bid ASPIRIN SIMG TABLET EC/ Lpo qd IMITREX 50MG TABLET / 1-2 rabs with onser of migrain

Impression: continorvase 10 ad

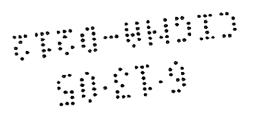
Plan:

Discontinued, NORVASC 5MG TABLET / I po qd

Refilled: NORMASC HIMG TABLET / 1 po qd PROSTEP 22MG/24HR PATCH / as directed

RTC 6 months

Andrew Schiff, MD



Steven Alfano NYH# 228-41-47 09/06/00 00:00

Patient Name: ALFANO, STEVEN History #: ? Accession at hornblats Soc Security or 9449648 Date of Birth on 14/58 Sex. M Ordered by: Specimen 12ate: 69/06/2000 00:00 Report Date: 09/07/2000 07:11 Status: Finat

COMP METABOLIC PANEL GLUCOSE

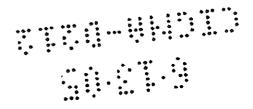
mg/dL

Glucose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, please call our client services department at 800-634-1390.

```
mmol/L
                                       136-144
                   142
SODIUM
                    4.2
                               mmol/L
                                        3.6-5.1
POTASSIUM
                               пвпо]/[_
                                        99-109
                    104
CHLORIDE
                        24
                                  nmol/L
                                           21-31
CARBON DIOXIDE
                                          9.24
UREA NITROGEN
                       15
                                 mg/dL
                                        0.7-1.3
CREATININE
                               mg/dl_
BUN/CREATININE RATIO
                              mg/dL
                                       8.7-10.3
CALCIUM
                      7.9
                                        -6.5 - 8.2
PROTEIN TOTAL
                                 g/dL
                              g/dL
                                      3.9-5.0
                    3 S
ALBUMIN
                                              2.1-3.6
GLOBULIN.CALCULATED
                                      g/dL
                                    1.1-2.0
A/G RATIO
                                           0.20 - 1.50
BILIRUBIN.TOTAL
                       0.56
                                  mg/dL
                                 127 H U/L
                                                30-115
ALKALINE PHOSPHATASE
                           U/L
                                   5-43
ΛST
                                   5-60
                     68 H
                           U/L
ALT
GLUCOSE
GLUCOSEFASTING
                        94
                                   me/dL
   65 125
```

The glucose reference range is based on a non-fasting state. 5.9 HEMOGLOBIN AIC

> A hemographia A1c of less than 7.0 percent meets the ADA's recommended goal for therapy.





Filed 07/28/2008

Steven Alfano NYH # 228-41-47 09/06/00 16:59

Progress Note: Dreven Affano. 7. September 6, 2000.

Subjective: 42 year old man with 15-s1 stenosis/spondylosis for neurosurgery

crosive gastirus by egd on prilosec

prior stress fracture

shoulder prior surgery of right shoulder

weight has gained weight since smoking cessation advised him to reduce carbohydrajes anbd stop smoking entirely down to 1/2 ppd

migraine headaches stable in present now that he is on bp meds

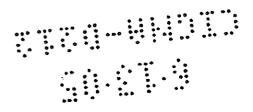
loot some pain esp with weight bearing

finh father colon cancer prostate cancer

sh mother-in-law dying married kids works in human resources

Objective:

BP 150/100 P RR Wt 302 lbs penla op: clear, no crymema no cerv, ax, se nodes Li clear, no wneezes





Steven Alfano NYH # 228-41-47 09/06/00 16:59 Page# 2

C: RRR, no mang A: soft, m (+) bs, nl l.s obese extri no edema iii intact 2-12 intact motor 5/5

Current Medications.

NORVASC TORICITABLET / Epo qd IMITREX NAS/J. SPRAY 20MG/SPRAY / 1 spray intranasally pro-IMITREX 50MG TABLET / 1-2 tabs with onset of migrain ASPIRIN SIMG TABLET EC / 1 po qd

Impression:

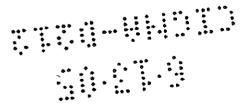
PHYSICAL THERAPY CONSULT discogram GLYCO HEMOGLOBIN (ROUTINE) COMPLETE MIFTABOLIC PANEL (12 TESTS) STRESS TEST GETTE will need colon or future if not already? will deal with issue of lumbar disc first plan for neurosurgery the famor at HSS in about 1 month after pt

Discommued: AXID 150MG PULVULE / Lpo bid

New medications: PREVACID 30MG CAPSULES / Lpo qd

RTC after surger / or for pre-op

Andrew Schill, asD





Steven Alfano NYH # 228-41-47 10/16/00 12:22

Progress Note: Steven Alfano / October 16, 2000

Subjective: 42 year old man with needs surgery for 15-s1 stenosis/spondylosis for neurosurgery now on distantly

will rx celexa for depression

also will try zestrit for bp instead of norvasc and see him back next week

Objective:

BP 160/100 P Wi 303 lbs It clear C: RRR, no marg

Current Medications:

PREVACID 30MG CAPSULES / 1 po qd
NORVASC 10MG TABLET / 1 po qd
IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pro
IMITREX 50MG TABLET / 1-2 tabs with onset of migrain
ASPIRIN 81MG TABLET EC / 1 po qd

Impression: rx zestrif rx celexu

Plan:

New medications: CELEXA 20MG TABLET / I po.qd
ZESTRIL 10MG TABLET / I po.qd
RTC Mon 10/23/2000 11:20am

Steven Alfano NYH # 228-41-47 10/16/00 12:22 Page# 2

Andrew Schiff, MD



Filed 07/28/2008

Steven Alfano NYH # 228-41-47 10/23/00 11:34

Progress Note: Steven Alfano / October 23, 2000

Subjective: 42 year old man with doing well on celexa sleeping better and bp well controlled on zestril

Objective:

BP 130/100 P Wt 304 lbs unchanged

Current Medications:

CELEXA 20MG TABLET / 1 po qd ZESTRIL 10MG TABLET / 1 po qd PREVACID BOMG CAPSULES / 1 po qd NORVASC TUMG TABLET / I po qd IMITREX NASAL SPRAY 20MG/SPRAY / Espray intranasally prin IMITREX 50mG TABLET / 1-2 tabs with onset of migrain ASPIRIN 81MG TABLET EC / 1 po qd

Impression: cont zestril cont celexa

Plan:

Andrew Schin, MD



Steven Alfano NYH# 228-41-47 11/07/00 11:20

Patient Name: ALEANO, STEVEN History #: 2 Accession #: 93105767 Soc Security: 020149648 Date of Birth: 01/14/58 Sex: M Ordered by: Specimen Date: +1/07/2000 11:20

Report Dine: 1.:08/2000 04:07 Status: Final

COMP METABOLIC PANEL

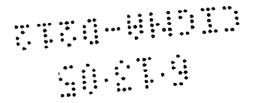
GLUCOSE

mg/dL

Glucose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, picase call our client services department at 800-631-1390

| 9(3)-(3)1 | · 1.170 | | |
|-------------|------------|-----------|------------|
| SODIUM | 142 | mmol/L I | 36-144 |
| POTASSIUM | 4.() | "Morani | 3,6-5,1 |
| CHLORIDE: | 1()4 | . l/lomni | 99-109 |
| CARBON DIO | XIDE 22 | nanol/I, | . 21-31 |
| UREA NITRO | IEN 21 | mg/dL | 9-24 |
| CREATININE | 1,1 | mg/dL | 0.7-4.3 |
| BUN/CREATIN | MINE RATIO | 19.1 | |
| CALCIUM | 9.5 | mg/dL - 8 | .7-10.3 |
| | AL 7.5 | | |
| | 4.7 | × | |
| GLOBULIN.C | VLCULATED | | |
| A/G RATIO | 1.7 | 1.1-2 | 1.0 |
| | JTAL 0.80 | ~ | |
| ALKALINE PI | OSPHATASE | | U/L 30-115 |
| AST | | U/L 5-43 | |
| ΛLT | | U/L 5-60 | |
| GLUCOSE | 97 | mg/dL 6 | 5-125 |

The gancose reference range is based on a non-fasting state.





Filed 07/28/2008

Steven Alfano NYH# 228-41-47 03/22/01 10:47

43 year old man with

CC:

Spinal stenosi. Following with neurology (Steinberger) and neurosurgery (Farmer)

Urinary frequency

constipanoremarrhea

hemorrhoids

SH:

Marital Status:

Occupation:

Employment Status:

Employer:

Habits:

Smoking:

Alcohol:

Drug abuse:

HR at Cornell - starting LTD

FH:

PSH: unremarkable

PMH: unremarkable

Allergies: None Known

Current Medications:

LAMISIL 1% CREAM apply bid x 2 weeks CELEXA 20MG TABLET I po qd VIOXX 50MG TABLET I tab po qd ZESTRIL IOMG TABLET I po qd PREVACID JOMG CAPSULES I polyd IMITREX NASAL SPRAY 20MG/SPRAY 1 spray inframisably prin IMITREX 50mG TABLET 1-2 tabs with onset of migrath. ASPIRIN 81MG TABLET EC I po qd



Steven Alfano NYH # 228-41-47 03/22/01 10:47 Page# 2

ROS:

Constitutional symptoms: Weight loss

PE:

General Appearance: WDWN white male NAD appearing stated age

Skin: no significant rashes or lesions

Head: NC/AT

Eyes: normai conjunctivae, lids. PERLA, EOM1

ENT: normal dentition, normal mucosa

Neck: supple, no masses, trachea midline, no thyromegaly, no JVD, no bruit

Respiratory: crear to P & A

Cardiovascutar: PMLMCL, normal S1, S2, no m/g/r

Abdoment sort, nomender, nondistended, normal BS, no hepatosplenomegaly, no masses

Document 20-16

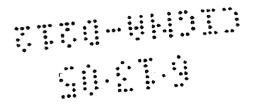
Extremities: no CCE / normal ROM / normal femoral / popliteal / PT / DP pulses

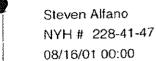
Neuro: awake, alen. O x 3, CN II-XII intact, normal strength, normal sensation, DTRs symmetric

Psychiatric: normal mood, normal affect

- 1. Spinal stenosis; agree with plan for PT, consideration of surgery if fails
- 2. Urinary retention: concern for neurologic cause, however, this seems not to be the case based on exams by urology and neurology - will follow

Keith Roach, MD





Patient Name: 70, FANO, STEVEN

History #: ?

Accession #1 59217805 Soc Security: 059449648 Date of Birth: 01/14/58

Sex: M Ordered by:

Specimen Date: 68/16/2001-00:00

Report Date: 0t., (6/2001-23:39)

Status: Pinar

COMP METABOLIC PANEL

GLUCOSE FASTING

mg/dL 65-109

Glacose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, please call our client services department at 800-631-1390.

```
SODIUM
                    14()
                               mmol/L
                                         135-146
                     4.2
                                mmol/L
                                          3.5-5.3
POTASSIUM
CHLORIDE
                     102
                                mmol/L
                                          98-110
                                             21-33
CARBON DIOXIDE
                         22
                                   mmol/L
                                            7-25
                                   mg/dL.
LIREA NITROGEN
                        22
                                          0.5-1.4
CREATININE
                      1.1
                                mg/dL
BUNCREATININERATIO
                            20.0
                                              6.0-25.0
                                         8.5-10.4
CALCIUM
                               mg/dL
                       7.3
                                  g/df.
                                           6.0-8.3
PROTEIN.TOTAL
ALBUMIN
                                        3.5-4.9
                    4.5
                               g/dL
                                        g/d1_
GLOBULINA CALCULATED
                                                2.2-4.2
                              2.8
                                      0.8-2.0
A/G RATIO
                    1.6
                                             0.20 - 1.50
 BILIRUBIN.TGTAL
                        0.65
                                    nig/dL
 ALKALINE PHOSPHATASE
                              97
                                        U/L
                                                20-125
                                    2-50
                            U/L
AST
                 16.
                 30
                            U/L
                                    2-60
ALT
HEMOGRAM & PLATELET COUNT
                             Thous/cu.imm 3.9-11.1
 WBC
                  7,6
                             Mil/cu.mm 4.20-5.60
                  5.15
 RBC
                       15.1
                                   g/dl_
                                           13,2-16.9
HEMOGLOBIN
                                   Percent
                                           38.5-49.0
                       44 6
 HEMATOCRIT
MCV
                  86
                             H
                                   80-97
                                    27.4-33.5
                  10 4
 MCH
                              Percent 32.0-36.0
MCHC
                   34.0
                  12.5
                                      11.0-15.0
                              Percent
 RDW
 PLATELET COUNT
                         249
                                    Thous/cu.mm 140-390
                  8.2
                                   7.5 - 11.5
 MPV
                               mg/dL
                                         65-125
                     96
GLUCOSE
```

The glussise reference range is based on a non-disting state.



Steven Alfano NYH# 228-41-47 01/18/02 00:00

Patient Name: ALFANO, STEVEN History #: 228 (147) Accession #: 98 174556 Soc Security: 1999/149648 Date of Birth of 14/58 Sex: M Ordered by: Specimen Date: 64/18/2002 00:00 Report Date: 01/19/2002 08:18 Status: Fina

COMP METABOLIC PANEL

ALT U/L 2-60 13 AST 19 U/L 2-50 ALKALINE PHOSPHATASE 107 U/L. 20-125 A/G RATIO 1.6 0.8 - 2.02.8 g/dl., 2.2 - 4.2GLOBULIN.CALCULATED 3.5-4.9 ALBUMIN g/dl. PROTEIN TOTAL g/dl_ 6.0-8.3 i) (ı 8.5-10.4 CALCIUM mg/dL BUN/CREATININE RATIO 6.0-25.0 mg/dL 0.5 - 1.4CREATININE 1.1 UREA NITROGEN 17 mg/dl_ 7-25 mmol/L 21-33 CARBON DIOXIDE 22 98-110 103 nmol/LCHLORIDE POTASSIUM 4.2 mmol/L 3.5-5.3 65-109 GLUCOSELFASTING mg/dl_

> Glucose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, pieuse cull our client services department at 800-631 1390.

142 mmol/L 135-146 SODIUM 4.2 mmol/L 3.5-53 POTASSIUM 98-110 103 mmol/L CHLORIDE CARBON DIOXIDE 22 mmol/L 21-33 mg/dL 17 7-25 UREA NITROGEN 1.1 0.5-1.4 CREATININE mg/dl. 6.0-25.0 BUN/CREATININE RATIO 15.5 mg/dL **CALCIUM** 9.6 8.5-10.4 7.3 g/di_ 6.0-8.3 PROTEIN.TOTAL ALBUMIN 4.5 3.5-4.9 ⊵/dL g/dI_{-} GLOBULIN, CALCULATED 2.2 - 4.22.8 0.8-2.0A/G RATIO BILIRUBIN, TOTAL mg/dL 0.45 -0.20 - 1.5020-125 ALKALINE PHOSPHATASE 107 U/L 2-50 19 U/L AST 1.1 2-60ALT U/L 22.0-34.0 30.9 Seconds PTT PROTHROMBIN TIME 0.93Ratio 0.90-1.10 INR 0.9 - 1.1No Anneoagulant, Normal Oral Anticoagulam, Standard Dose 2.0 - 3.0 Oral Anticoaguiant, High Dose 2.5 - 3.5

GLUCOSE

101

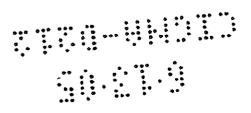
mg/dL

65-125



Steven Alfano NYH # 228-41-47 01/18/02 00:00 Page# 2

```
The glucose reference range is based on a non-fasting state.
CBC W/DJFF & PLT
                  ...2
 WBC
                             Thous/mcL 3,8-10.8
RBC
                  5.10
                             Mill/mcL 4.20-5.80
HEMOGLOBIN
                       15.2
                                   g/dlL
                                           13.2-17.1
HEMATOCRES
                       44.1
                                   %
                                          38.5-50.0
MCV
                  86.5
                             Ĥ.
                                     80 0-100 0
MCH
                  29.8
                                     27.0-33.0
                             pg
                                       32.0-36.0
MCHC
                   34.4
                              g/dL
RDW
                   :3.2
                              1%
                                      11.0-15.0
PLATELET COUNT
                          267
                                     Thous/mcL 140-400
MPV
                  8.2
                             1%
                                     7.5-11.5
 TOTAL NEUTROPHILS.%
                             66.4
                                        %
 TOTAL LYMPHOCYTES.%
                              24.0
                                         0%
 MONOCYTES.M
                        6.1
 EOSINOPHILS.%
                        2.8
                                   %
 BASOPHILS.5
                       0.7
                                  %
                                          cells/mcL = 1500-7800
 NEUTROPHILS.ABSOLUTE
                              4781
                                          cells/mcl. 850-3900
 LYMPHOCYTES.ABSOLUTE
                               1728
                                         cells/mcL 200-950
 MONOCYTES, ABSOLUTE
                              439
 EOSINOPHILS.ABSOLUTE
                             202
                                        cells/mcl_ 50-550
 BASOPHILS.ABSOLUTE
                            50
                                       cells/mcl_ 0-200
 DIFFERENTIAL.
       An instrument differential was performed.
       Please note new reference range
URINALYSIS.COMPLETE
 COLOR
                    Yellow
                                       Yellow
 APPEARANCE
                       Clear
                                         Clear
                      Negative
 GLUCOSE.OL.
                                   mgklL
                                             Negative
                     Negative
 BILIRUBIN
                                        Negative
 KETONES
                     Negative
                                  mg/dl_
                                           Negative
 SPECIFIC GRAVITY
                         1.030
                                            1.001-1.030
 BLOOD
                    Negative
                                       Negative
 PH
                 7.0
                                  5,0-8.0
 PROTEIN.TOTAL.QL
                                      mg/dL
                          30(1+)
                                                Negative
 NITRITE
                    Negative
                                       Negative
 LEUKOCYTE ESTERASĚ
                             Negative
                                                Negative
                                           /hpf
 SQUAMOUS EPITHELIAL CELLS: 3-5
                                                   0-5/hpf
                                     0-3/hpf
 WBC
                  11-2
                             /hpf
 BACTERIA
                     None
                                 /hpf
                                         None
                  12.7
                             /hpf
                                    0-2/hpf
 RBC
 MUCUS
                    Trace
                                /lpf
```





Filed 07/28/2008

Steven Alfano NYH # 228-41-47 01/18/02 08:39

Progress Nore: Gieven Alfano / January 18, 2002

CIMA/GMC Presperative Evaluation Requested by: 151, Michael Alexaides

Referring Physic., in s audiess/telephone #: 159 E 74th St., New York

fax 212 439 685.4

Planned surgery actinoscopic shoulder surgery, decompression

Surgery date: 17, 7/02

HPI: 44 year old man with R shoulder separation, operated on before for rotator culf tear, now for arthroscopic decompression. Major complaint is pain, limitation of movement.

PMH; severe spinal stenosis - L5-S1 HTN - good control headaches - relieved by imitrex

Coronary arrery disease; none

Diabetes mellitus requiring therapy other than diet: never

COPD: no diagnosis, no symptoms

Asihma: none

PSH: previous snoulder surgery, tonsils, soft palate reduction for sleep apnea

Fbx: HTN, no CAD

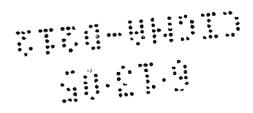
Sha: lives with wife, 2 children Work:trying to yet disability, unable to work secondary to back pain Relationships; fives with wife, stressed about financial issues, health concern Cigarette use: 30 pack-years Alcohol: rare Drugs: no

Health maintenance: Immunizations: Last Td: doesn't remember Flu vaccine: doesn't want Pneumovax; not indicated PPD: not indicated

Current Medications: vioxx 50 qd Jisinopril 10 qd prevocid 30 q HS ASA 81 mg qd imitrex nasal spray 20

Allergies: codeine - nausea

Review of Systems:



Steven Alfano NYH # 228-41-47 01/18/02 08:39 Page# 2

Filed 07/28/2008

Problems with unesthesia never

Bleeding problems:none

Exercise: finance by spinal stenosis, finited by back pain, weakness in leg

Blocks walked before needing to rest <1 Flights of steps combed before needing to rest: I Reason for stopping; loss of strength in legs Pulm; no problems

Card: no chest disconfort or palpitations

GE constibution

GU: urinary retention, evaluated by urology - not felt to need treatment

Objective:

BP Right: 140/104 | Left: 140/100 | Pulse: 88 | Wt: 298 | Ht: 6'3" HEENT: PERRI., EOMI w/out nystagmus, discs flat B, no H/E. OP.TM's and nares clr. no sinus tenderness. Neck: no LN, no myromogaly/nodules, carotids 2+B, no bruits. Lungs and Chest: CTA and P. No axillary or SC LN. Cor: PMI nonentarged, nondisplaced, RRR s1s2, no m/g/r.

Back; no spinous tenderness or scoliosis. No CVAT,

-Abd: BS active, rVT, ND, no HSM.

Rectaf:

Lymphatics: No axillary, supraclavicular, or inguinal LAN.

Ext: DP 29-B, no edoma.

M/S: moderate R shoulder impingement

Neuro: Nonfocat, Strength 5/5 B UE and LE, DTR's 2+ throughout.

Skin: No rashes or dysplastic nevi.

GU: testes NL size, no masses, no scrotal masses, no inguinal bernia B.

Data (as clinically indicated):

Chemistry battery:

CBC: PT/PTT: ECG: Chest X-ray:

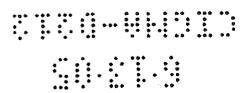
Stress test; not indicated

Impression:

low risk for planned procedure

Recommendations:

Keith Roach, MD





Steven Alfano NYH # 228-41-47 02/12/02 14:09

Progress Note: Steven Alfano / February 12, 2002

Subjective: 12 year old man with

spinal steriosis

needs evaluation for social security

Objective:

BP 130/90 P 88 bpm Wt 300 lbs Height 6ft 3in quads 4/5 + SLR bilaterarly nl sensation decreased L patellar reflex

Current Medications:

TRIAMCINOLONE 0.1% CREAM / apply bid
VIOXX 50MG TABLET / 1 tab po qd
CELEXA 20MG TABLET / 1 po qd
ZESTRIL 20MG TABLET / 1 po qd
PREVACID 30MG CAPSULES / 1 po qd
IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally pro
IMITREX 50MG TABLET / 1-2 tabs with onset of migrain
ASPIRIN 81MG TABLET EC / 1 po qd

Allergies:

impression:

Plan: forms filled our f/u with surgery prn

RTC

Keith Roach, MD





Filed 07/28/2008

Steven Alfano NYH # 228-41-47 05/23/02 12:29

HSS

MRI LOWER EXTREMITY

Dr. Michael Alexiades

IMPRESSION:

Magnetic resonance imaging of the right hip demonstrating superficial cartilage loss over the hip joint, borderline acetabular dysplasia and a torn, hyperplastic and degenerated anterior acetabular labrum.

There is a marrow replacement process affecting the left femur which overall has a non-aggressive appearance. Differential possiblities are noted, as above.

Dictated by Hollis Potter M.D.